

Northern A Health and Disability Ethics Committee

Annual Report
2018

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# About the committee

The Northern A Health and Disability Ethics Committee (HDEC) is a Ministerial committee established under section 11 of the [New Zealand Public Health and Disability Act 2000](http://www.legislation.govt.nz/act/public/2000/0091/latest/DLM80051.html). Its members are appointed by the Minister of Health through the public appointments process.

The primary function of the Committee is to provide independent ethical review of health research and innovative practice in order to safeguard the rights, health and wellbeing of consumers and research participants and, in particular, those persons with diminished autonomy.

The Committee is required by its [Terms of Reference](http://www.ethicscommittees.health.govt.nz/moh.nsf/indexcm/ethics-about-central#tor) to submit an Annual Report to the Minister of Health. The Annual Report must include information on the membership of the Committee, a summary of the applications reviewed during the year, details of any complaints received (and how they were resolved), and areas of review that caused difficulty when making decisions, among other matters.

### Approvals and registrations

The Northern A HDEC is approved by the Health Research Council Ethics Committee for the purposes of section 25(1)(c) of the [Health Research Council Act 1990](http://legislation.govt.nz/act/public/1990/0068/latest/DLM213017.html).

The Northern A HDEC is registered (number IRB00008714) with the United States’ Office for Human Research Protections. This registration enables the committee to review research conducted or supported by the US Department of Health and Human Services.

# Chairperson’s report

The work of the committee continues according to the Standard Operating Procedures and NEAC and other guidelines.

Despite quite extensive documentation on the HDEC website, some researchers continue to submit incomplete research proposals, and we end up having to push them for better protocols, having all the right numbers of PIS (for age and other relevant classes), supplying appropriate PIS for Future Unspecified Research on tissue/ and blood.

The uses of tissue and blood samples are numerous. Some researchers are quite willing to just do biomarker testing and destroy the samples at the end; others want to keep residual tissue (or extracted DNA) for future unspecified biomarker or genetic testing; some want samples for bio banking and this is optional, others want the taking of samples to be mandatory as a condition of being in the trial. We need to judge each case individually but within our guidelines. Having said that, it would appear that the more we know about genes, biomarkers the more will such testing be seen as indispensable to evaluating the effectiveness of new drugs.

Other researchers continue to test the boundaries of informed consent, ranging from children, the elderly with cognitive issues and people in ICU situations. We use the appropriate guidelines and regulations to arrive at decisions.

The number of new submissions is growing, and we seem to managing the split well between proposals being handle by full committee or handled by the expedited route. The principal reasons for declining proposals are a general sloppiness in attention to detail with the relevant required documents. Generally when they resubmit better documentation, we approve.

We continue to assess the skills mix on the committee, and when necessary do interviews, and make recommendations. The appointment process is however out of our hands. Membership and attendance

## Membership

### List of EC members within the reporting period

**Dr Brian Fergus (Chair)**

Membership category: Community Perspectives

Date of appointment: 11th November 2015

Current term expires: 11th November 2018

His career included management positions in industry, and management consulting before founding and running his own computer services company (1997-2008). Dr Fergus completed a Diploma of Business Administration at the University of Auckland, a PhD in Chemistry at McGill University, Montreal, Canada, a Master of Science and a Bachelor of Science at the University of Auckland. He is a former member of the Auckland District Health Board (2007-2010) and a previous member of the Baradene College Board of Trustees. Brian is a member of ECART (Ethics Committee for Assisted Reproductive Technology). Brian was the chair of the Northern X HDEC.

**Ms Rochelle Style**

Membership category: Ethical and Moral Reasoning

Date of appointment: 16th June 2016

Current term expires: 14th June 2020

Rochelle Style holds an Honours Degree in Law (University of Canterbury, 1985) and a Masters of Bioethics & Health Law (Distinction) (University of Otago, 2017).

She was a partner in one of New Zealand’s leading law firms, Bell Gully, and acted as Litigation Counsel on behalf of PHARMAC. She is a current Ministerial appointee on the Northern A Health and Disability Ethics Committee and was a member of an expert Working Party appointed by the Ministry of Health to update the National Ethical Health Research guidelines (September 2017-March 2018). She also has valuable clinical ethics experience gained through her appointment, over 5 years, as a member of the Capital & Coast DHB’s Clinical Ethics Advisory Group.

Rochelle is also an independent researcher and has published on the governance of health data research in New Zealand and delivered lectures and presentations on data ethics, including at the University of Otago and Victoria University of Wellington.

**Dr Kate Parker**

Membership category: Observational Studies

Date of appointment: 11th November 2015

Current term expires: 11th November 2018

Kate Parker holds a PhD School of Pharmacy from the University of Cardiff and she is the programme manager at the University of Auckland leading into neuroendocrine cancer research. She is a project and research manager specialising in healthcare and pharmaceutical research with over 20 years’ experience across both academia and industry. Kate has done wide variety of presentations within companies on various topics, presented scientific posters at a number of international conferences and have made numerous BD presentations as well as peer reviewed publications.

**Ms Toni Millar**

Membership category: Community Perspectives

Date of appointment: 11th November 2016

Current term expires: 11th November 2019

Ms Toni Millar is self-employed, working in a number roles as a trustee, director and board member. Among these, she is Director of the Centre for Social Impact (2015 – present), Chair of the Auckland Council’s Creative Communities Central Assessment Committee (2013 – present), a member of the Ministry of Social Development’s Auckland Central Community Response Forum (2010 – present) and a Trustee of Foundation North (previously ASB Community Trust) (2011 – present). Ms Millar has a Post-Graduate Diploma in Business Management from the University of Auckland (1997), a Diploma in Teaching from North Shore Teachers College (1979), and a Certificate in Company Direction from the Institute of Directors New Zealand (2010). She was appointed a Justice of the Peace in 2005 and awarded the Queens Service Medal for community works in 2015.

**Dr Catherine Jackson**

Membership category: Service Supervision

Date of appointment: 11th November 2016

Current term expires: 11th November 2019

Dr Catherine Jackson is a Public Health Medicine Specialist at Auckland Regional Public Health service (2011 – present). Prior to this, she was a data manager and analyst for Youth National Secondary School Survey (2012). Dr Jackson was a member of the Fiji Islands Rheumatic Heart Disease Control and Prevention Advisory Group (2013 – 2015). She is a member of the New Zealand College of the Public Health Medicine Assessment Committee (2014 – present) and was a Registrar of the New Zealand College of Public Health Medicine Council (2009 – 2012). Dr Jackson holds a Bachelor of Medicine, Bachelor of Surgery (1994), a Master of Public Health (2011), and a Diploma in Paediatrics (1996) from the University of Auckland.

Conflicts of Interest: Dr Jackson is currently an active researcher.

**Dr Christine Crooks\***

Membership category: Intervention Studies

Date of appointment: 11th November 2015

Current term expires: 11th November 2018

Christine Crooks is a clinical trial coordinator and project manager at Cancer and Blood Services, Auckland City Hospital. She manages all aspects of several oncology clinical trials including collaborative group and pharmaceutical sponsored trials. Christine’s background in health research has involved managing intervention studies while completing PhD (students, athletes and older adults) and conducting an observational research project as a postdoctoral research fellow (infants and young children). She is a member of the New Zealand Association for Clinical Research, Australia New Zealand Breast Cancer Trials Group and Australia New Zealand Gynaecological Oncology Group. Christine has a PhD and MSc (Nutritional Science) from Massey University and a Science degree from Waikato University.

**Dr Karen Bartholomew**

Membership category: Intervention Studies

Date of appointment: 13th May 2016

Current term expires: 13th May 2019

Dr Karen Bartholomew is currently employed at Planning and Funding in Auckland Waitemata DHBs working in Child, Maternal and Youth health, and is involved in a number of research projects. Karen graduated with a Microbiology degree from Massey University in 1998. She worked from a consumer perspective in a Women’s Health Collective and Rape Crisis while in Palmerston North and then moved to Auckland graduating with her medical qualification (2004) and Masters of Public Health with honours (2011) from the University of Auckland. She has undertaken a range of clinical work, with a focus on women’s health. She holds a specialist qualification in Public Health Medicine, and has an interest in women’s health, maternity, screening and public health genomics. She is also has a strong interest in public health and research ethics, and is a member of the Massey University Human Ethics Committee (Northern) and the Health and Disability Ethics Committee Northern A.

##

## Attendance

|  |
| --- |
| **LEGEND:** **\*** After name indicates Māori member**Y** = Present **A** = Apology**X** = Meeting cancelled / No meeting scheduled **/** = Not a member of committee during this time |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Members** | **Membership category** **(L/NL)** | **Jul 17** | **Aug 17** | **Sep****17** | **Oct 17** | **Nov 17** | **Dec 18** | **Feb 18** | **Mar 18** | **Apr 18** | **May 18** | **Jun 18** | **Total** |
| Dr Brian Fergus  | L | **Y** | **Y**  | **Y**  | **Y**  | **Y**  | **Y** | **Y**  | **Y**  | **Y**  | **Y** | **Y** | 11/11 |
| [Ms Rochelle Style](https://nz.ethicsdatabase.org/contacts/contactsnew.aspx?id=129854)  | L | **Y** | **Y** | **Y** | A | A | **Y** | **Y** | A | **Y** | **Y** | **Y** | 8/11 |
| [Dr Kate Parker](https://nz.ethicsdatabase.org/contacts/contactsnew.aspx?id=127977)  | NL | **Y** | **Y** | **Y** | **Y** | **Y** | A | A | Y | A | **Y** | **Y** | 8/11 |
| Ms Toni Millar | L | **Y**  | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | 7/11 |
| [Dr Catherine Jackson](https://nz.ethicsdatabase.org/contacts/contactsnew.aspx?id=129033)  | NL | **Y** | **Y** | **Y** | A  | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | 10/11 |
| Dr Christine Crooks | NL | **Y** | **Y** | **Y** | A | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | 10/11 |
| Dr Karen Bartholomew | NL | **Y**  | **Y** | **Y** | A | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | 10/11 |
| [Mrs Kate O'Connor (Co-opted member NTB)](https://nz.ethicsdatabase.org/contacts/contactsnew.aspx?id=127401)  | L | / | **Y** | / | **Y**  | **Y** | / | **Y** | / | / | / | / | 4/11 |
| [Mrs Stephanie Pollard](https://nz.ethicsdatabase.org/contacts/contactsnew.aspx?id=130252) (Co-opted member NTB) | NL | / | / | / | **Y** | / | / | / | **Y** | / | / | / | 1/11 |
| [Mrs Jane Wylie](https://nz.ethicsdatabase.org/contacts/contactsnew.aspx?id=124357) (Co-opted member NTB) | NL | / | / | / | **Y** | / | / | / | / | / | / | / | 1/11 |
| [Dr Nicola Swain (Co-opted member STH)](https://nz.ethicsdatabase.org/contacts/contactsnew.aspx?id=128079)  | NL | / | / | / | / | / | **Y** | / | / | / | / | / | 1/11 |
| [Dr Nora Lynch](https://nz.ethicsdatabase.org/contacts/contactsnew.aspx?id=128080) (Co-opted member NTB) | NL | / | / | / | / | / | / | **Y** | / | / | / | / | 1/11 |
| [Mrs Helen Walker](https://nz.ethicsdatabase.org/contacts/contactsnew.aspx?id=127540)  (Co-opted member Central) | L | / | / | / | / | / | / | **Y** | / | / | / | / | 1/11 |
| [Dr Maliaga Erick](https://nz.ethicsdatabase.org/contacts/contactsnew.aspx?id=124819) (Co-opted member NTB) | L | / | / | / | / | / | / | / | **Y** | / | / | / | 1/11 |
| **Total no. of members present** |  | **7** | **8** | **7** | **6** | **6** | **7** | **9** | **7** | **6** | **6** | **7** |  |
| **No. of applications considered** |  | **8** | **12** | **12** | **11** | **9** | **12** | **12** | **7** | **8** | **6** | **9** |  |

## Training and conferences

### Specify the training undergone by new members

N/A

### Specify the on-going training for EC members

1. Otago University Public Health Summer School - Day on Data Ethics – 13 February 2018.
2. Elder Law, Ethics & Healthcare Conference, 6-7 March 2018 Wharewaka.
3. Doctorow on machine learning, big data and privacy – Office of the Privacy Commissioner lecture – 13 March 2018.
4. The Child’s voice in Healthcare decisions – workshop, Charles Sturt University, Bathurst Campus, Saturday 28 April 2018.
5. Navigating Silence:  understanding the grief experiences of young men bereaved by suicide. Health Services Research Centre Lecture - Victoria University, 22 May 2018.
6. Data Analytics Forum on Ethics and Algorithmic Transparency – Seminar Ministry of Social Development 18 July 2018.
7. Machine Learning Skills Pathways, Xero’s AI Journey, Fair and Transparent AI -AI Forum seminar 24 July 2018.
8. Social Investment Agency (SIA) hui about the Government’s approach to investing in social wellbeing, Monday 11 June 2018.
9. Safer and More Effective Algorithms in Healthcare workshop – Emerging Health Technology Section, Ministry of Health, 28th August 2018.
10. Stats NZ “Data Summit’18”

# Applications reviewed

### Summary of applications received by full EC

|  |  |
| --- | --- |
| No. of applications approved | 30 |
| No. of applications approved subject to conditions / pending | 54 |
| No. of applications deferred and subsequently approved | 4 |
| No. of applications deferred as at time of report | 5 |
| No. of applications that were declined because of no/insufficient consultation with appropriate Māori/whanau/iwi/hapu | 45 |
| No. of applications that were declined because of no/insufficient consultation with appropriate cultural group | 4 |
| No. of applications declined (This excludes those with no/insufficient consultation with appropriate Māori/whanau/iwi/hapu/cultural group)  | N/A |
| No. of applications which do not require ethics committee approval  | N/A |
| No. of studies withdrawn by researcher | 0 |
| No. of studies terminated by sponsor | 0 |
| No. of studies transferred to another EC  | N/A |
| No. of studies withdrawn by HDEC | 0 |
| **Total number of applications received by full EC** i.e. no. of full applications with a final decision of approve, decline or provisional approval at time of report | **88** |

### Summary of applications received under expedited / low risk review

|  |  |
| --- | --- |
| No. of applications approved | 28 |
| No. of applications that were provisionally approved | 49 |
| No. of studies declined | 4 |
| No. of applications that were provisionally approved and final decision pending | 7 |
| No. of applications provisionally approved and subsequently approved  | 42 |
| No. of applications provisionally approved and subsequently declined | 4 |
| No. of applications referred for full committee review | N/A |
| **Total number of applications received under expedited/low risk review**I.e. no. of expedited applications with a final decision of approve, decline or provisional approval at time of report | **81** |

|  |  |
| --- | --- |
| **Total number of applications received:** | **169** |

#

# Complaints and overdue application summary

This section outlines complaints about decisions made by the Committee during 2016.

## Complaints received

N/A

## Overdue review

Average review times take into account the time taken for the Secretariat to process applications and the time taken for the Committee to review applications. The clock is stopped when a decision letter is emailed to applicants. Average review times exclude time taken for researchers to respond to requests for further information.

Average review time was 17 days for expedited applications. Target timeframe for expedited applications is 15 calendar days

Average review time was 32 days for full applications. Target timeframe for full applications is 35 calendar days

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# Appendix 1: Details of applications reviewed[[1]](#footnote-1)

## Applications reviewed by full committee

|  |
| --- |
| **NOTE:**1. Please provide details of all protocols considered by the EC in the reporting period.
2. In the “outcome of first review” and “status at time of report” columns, please use the categories (as indicated in 7.6and 7.7) “Approved/ Approved subsequent to conditions/ Declined/ Deferred/ Transferred”.

(For outcome category “transferred”, please include the name of the committee the proposal was transferred to or from.)1. In the “locality column”, specify the location where the research will be undertaken. For example, in the hospital, at school.
 |

| **Study reference** | **Short title** | **CI Name** | **Validation date** | **Pause date (i.e. provisional approval)** | **Date of final decision** | **Study status at time of report** | **Funder** | **Consultation undertaken** | **Review Type** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 17/NTA/139 | Post-settlement refugee-like migrants and refugees, a comparative primary care health analysis. | Dr Jonathan Kennedy | 5/07/2017 | 1/08/2017 | 2/08/2017 | Approve | Zenith Technology Corporation Limited | Locality | HDEC-Full Review |
| 17/NTA/130 | Epigenetics of progesterone resistance in endometriosis | Dr Anna Ponnampalam | 6/07/2017 |  | 1/08/2017 | Decline |  | Locality | HDEC-Expedited Review |
| 17/NTA/131 | Indoor environment quality, health and wellbeing in early childhood education centres | Prof Robyn Phipps | 6/07/2017 |  | 1/08/2017 | Decline |  | Locality | HDEC-Full Review |
| 17/NTA/132 | Targeted Temperature Management after Cardiac Arrest 2 (TTM2) | Dr Paul Young | 6/07/2017 | 1/08/2017 | 21/08/2017 | Approve | Counties Manukau District Health Board | Locality | HDEC-Full Review |
| 17/NTA/133 | Reading for Pleasure: The benefits of a dementia-friendly book club. | Dr Dalice Audrey Sim | 6/07/2017 |  | 31/08/2017 | Approve | AUT / Waitemata DHB | Locality | HDEC-Expedited Review |
| 17/NTA/134 | TAME Cardiac Arrest Trial | Dr Rachael Parke | 6/07/2017 |  | 21/09/2017 | Approve | Liggins Institute, University of Auckland | Locality | HDEC-Full Review |
| 17/NTA/135 | New ways to deliver oxygen to children PARIS II trial | Dr Stuart Dalziel | 6/07/2017 |  | 13/09/2017 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/142 | Spleen stiffness measurement for the non-invasive assessment of varices in patients with liver cirrhosis | Dr Sriharan Selvaratnam | 12/07/2017 | 2/08/2017 | 21/09/2017 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/144 | Changes in blood biomarkers following macronutrient intake. | Prof Sally D Poppitt | 17/07/2017 | 30/08/2017 | 17/08/2017 | Decline | University of Auckland | Locality | HDEC-Full Review |
| 17/NTA/146 | Energy expenditure and spinal cord injury | Dr Alex Kazemi | 17/07/2017 | 30/08/2017 | 15/08/2017 | Approve | Starship Children's Health | Locality | HDEC-Full Review |
| 17/NTA/147 | MAST | Professor Richard Siegert | 21/07/2017 | 9/09/2017 | 5/09/2017 | Approve | The University of Auckland | Locality | HDEC-Expedited Review |
| 17/NTA/148 | Paediatric tonsillectomy trends in Auckland 2002-2016: A retrospective cohort study | Dr James Johnston | 26/07/2017 | 28/09/2017 | 11/08/2017 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/152 | I AM DRY | Dr Leesa Morton | 1/08/2017 |  | 16/08/2017 | Approve | University of Auckland | Locality | HDEC-Expedited Review |
| 17/NTA/151 | PL3397-A-U126 | Prof Robert Matthew Strother | 3/08/2017 | 25/10/2017 | 25/10/2017 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/153 | Outcomes in children diagnosed with Bronchiectasis | DR NAVEEN PILLARISETTI | 3/08/2017 |  | 11/10/2017 | Approve | The University of Auckland | Locality | HDEC-Expedited Review |
| 17/NTA/154 | Fish oil in pregnancy trial | Dr Benjamin Albert | 3/08/2017 |  | 3/10/2017 | Approve | Counties Manukau District Health Board | Locality | HDEC-Full Review |
| 17/NTA/156 | Nitric on Bypass | Dr David Buckley | 3/08/2017 | 19/12/2017 | 8/12/2017 | Approve | Auckland City Hospital | Locality | HDEC-Full Review |
| 17/NTA/159 | Treatment of invasively ventilated adults with Early Activity and Mobilisation (TEAM) Trial | Dr Paul Young | 3/08/2017 | 7/11/2017 | 15/09/2017 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/160 | Fluoropyrimidine Transport in Humans | Dr Kathryn Burns | 3/08/2017 |  | 24/08/2017 | Approve | Auckland District Health Board | Locality | HDEC-Expedited Review |
| 17/NTA/161 | Lymph node grafting for the treatment of breast cancer related lymphoedema | Mr Winston McEwan | 3/08/2017 |  | 9/10/2017 | Approve | University of Auckland/Auckland City Hospital | Locality | HDEC-Full Review |
| 17/NTA/162 | A study to evaluate Relugolix in women with endometriosis associated pain. | Dr Bradley Chittenden | 3/08/2017 | 7/11/2017 | 24/11/2017 | Approve | Waitemata District Health Board/University of Auckland | Locality | HDEC-Full Review |
| 17/NTA/163 | Young people’s day to day life experience living with bronchiectasis | Mrs Julie Blamires | 3/08/2017 | 30/10/2017 | 18/09/2017 | Approve | Starship Children's Health | Locality | HDEC-Expedited Review |
| 17/NTA/164 | (duplicate) Anticoagulation in the Obese - Prospective Registry | Dr Eileen Merriman | 3/08/2017 |  | 20/11/2017 | Approve | The University of Auckland | Locality | HDEC-Full Review |
| 17/NTA/168 | Acromegaly and aortic root diameters | Dr Marianne Elston | 8/08/2017 |  | 29/08/2017 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/169 | Medication use in vulnerable older adults: appropriateness and impact | Miss Sarah Wilkinson | 8/08/2017 | 15/11/2017 | 28/09/2017 | Approve | Counties Manukau District Health Board | Locality | HDEC-Expedited Review |
| 17/NTA/170 | Housing Deprivation status amongst Mental Health Service Users in Counties Manukau Health | Ms Ruth Hungerford | 11/08/2017 | 12/12/2017 | 4/10/2017 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/172 | Changes in blood biomarkers following macronutrient intake. | Prof Sally D Poppitt | 24/08/2017 |  | 15/09/2017 | Approve | Timaru Medical Specialists Ltd | Locality | HDEC-Full Review |
| 17/NTA/184 | Can a Normogram for VBAC sucess prediction be developed in our population | Dr Tinashe Ngorora | 4/09/2017 | 1/08/2017 | 11/01/2018 | Approve | University of Otago, Wellington | Locality | HDEC-Full Review |
| 17/NTA/185 | ALaCART Additional Study - LARS Study | Professor Ian Bissett | 4/09/2017 | 1/08/2017 | 13/10/2017 | Approve | CCDHB | Locality | HDEC-Full Review |
| 17/NTA/171 | Testicular cancer in New Zealand study | Dr Jason Gurney | 7/09/2017 |  | 3/10/2017 | Decline | University of Auckland | Locality | HDEC-Full Review |
| 17/NTA/173 | ALLG MM20 | Dr Ruth Spearing | 7/09/2017 |  | 18/12/2017 | Approve | Auckland District Health Board | Locality | HDEC-Expedited Review |
| 17/NTA/174 | IMROZ | Dr Hilary Blacklock | 7/09/2017 | 2/08/2017 | 8/11/2017 | Approve | Auckland District Health Board | Locality | HDEC-Expedited Review |
| 17/NTA/175 | IKEMA | Dr Hilary Blacklock | 7/09/2017 |  | 2/11/2017 | Approve | Auckland District Health Board | Locality | HDEC-Full Review |
| 17/NTA/176 | Suprascapular block with infraclavicular block in shoulder surgery | Mr Ilia Elkinson | 7/09/2017 | 26/07/2017 | 10/11/2017 | Approve | Waikato DHB | Locality | HDEC-Expedited Review |
| 17/NTA/177 | exciteBCI for stroke rehabilitation | Dr Denise Taylor | 7/09/2017 |  | 24/10/2017 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/178 | A study of R131 vaginal ointment in healthy women volunteers and in women with low-grade cytological abnormalities of the uterine cervix | Dr Noelyn Hung | 7/09/2017 | 4/08/2017 | 29/11/2017 | Approve | MidCentral DHB | Locality | HDEC-Expedited Review |
| 17/NTA/180 | Intravenous lidocaine in postoperative ileus | Dr Michael Russell | 7/09/2017 | 2/08/2017 | 9/11/2017 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/183 | Infection rate for paediatric distal humerus fracture | Dr Chuan Kong Koh | 7/09/2017 |  | 21/03/2018 | Decline | Natalie Gauld Ltd | Locality | HDEC-Expedited Review |
| 17/NTA/187 | WEAN SAFE | Dr Paul Young | 7/09/2017 |  | 27/02/2018 | Approve | ADHB | Locality | HDEC-Expedited Review |
| 17/NTA/188 | SPAR | Assoc. Professor Michael Jameson | 7/09/2017 |  | 18/12/2017 | Decline | University of Otago, Christchurch | Locality | HDEC-Expedited Review |
| 17/NTA/189 | Breslow thickness Assessment in Melanoma | Dr Ben Tallon | 7/09/2017 | 28/08/2017 | 20/10/2017 | Approve | Capital and Coast District Health Board | Locality | HDEC-Full Review |
| 17/NTA/181 | What are the patterns of referral and uptake of BRCA testing in women with high-grade non-mucinous epithelial ovarian cancer in NZ? | Doctor Bryony Simcock | 11/09/2017 |  | 6/10/2017 | Approve | University of Otago | Locality | HDEC-Full Review |
| 17/NTA/196 | Short Exeter Stems | Dr Thomas Kuperus | 11/09/2017 | 28/08/2017 | 2/11/2017 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/197 | The effects of cardiovascular disease and exercise training on cardiovascular function in middle-aged and older women. | Dr Graeme Carrick-Ranson | 13/09/2017 | 28/08/2017 | 8/11/2017 | Approve | University of Otago | Locality | HDEC-Expedited Review |
| 17/NTA/198 | IV cannulation & post-op pain | Ass Prof Dr R Ross Kennedy | 22/09/2017 | 3/10/2017 | 27/10/2017 | Approve | Auckland District Health Board | Locality | HDEC-Full Review |
| 17/NTA/201 | A parental perspective of the first 72 hours after the death of their child. | Mr Richard Brown | 5/10/2017 |  | 25/10/2017 | Decline | Hāpai Transfer Systems Ltd. | Locality | HDEC-Full Review |
| 17/NTA/202 | Open-label Extension Study for Subjects with Prostate Cancer | Mr John Leyland | 5/10/2017 |  | 24/11/2017 | Approve | University of Otago | Locality | HDEC-Expedited Review |
| 17/NTA/203 | (duplicate) The Genetics of Discoid Lupus Eythematosus in Māori and Pacific People | Dr Paul Jarrett | 5/10/2017 | 8/11/2017 | 11/12/2017 | Approve | Canterbury District Health Board | Locality | HDEC-Expedited Review |
| 17/NTA/204 | Pluripotent stem cells and human disease | Dr Alan Davidson | 5/10/2017 | 8/11/2017 | 18/12/2017 | Approve | The University of Auckland | Locality | HDEC-Expedited Review |
| 17/NTA/205 | HABITS – Proof of concept evaluation of emotional wellbeing app | Dr Karolina Stasiak | 5/10/2017 | 8/11/2017 | 30/11/2017 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/207 | From presence to belonging | Dr Brigit Mirfin-Veitch | 5/10/2017 | 1/12/2017 | 25/10/2017 | Approve | TBI Health Group | Locality | HDEC-Expedited Review |
| 17/NTA/208 | Vitamin C and Acute myeloid leukemia | Professor Margreet Vissers | 5/10/2017 | 9/11/2017 | 26/10/2017 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/211 | He Pataka Marohi | Dr Geoff Kira | 5/10/2017 |  | 26/10/2017 | Decline | Litmus | Locality | HDEC-Expedited Review |
| 17/NTA/212 | The Vitamin C after Cardiac Surgery Study (VICCSS) | Dr Paul Young | 5/10/2017 | 21/11/2017 | 26/10/2017 | Approve | The University of Auckland | Locality | HDEC-Expedited Review |
| 17/NTA/213 | Onyx ONE | Dr Sanjeevan Pasupati | 5/10/2017 | 4/12/2017 | 3/11/2017 | Approve | The University of Auckland | Locality | HDEC-Full Review |
| 17/NTA/214 | A Study to Assess the Safety, Tolerability, Pharmacokinetics, and Pharmacodynamics of ACH-0145228 in Healthy Volunteers | Dr Paul Hamilton | 5/10/2017 |  | 26/10/2017 | Approve | Counties Manukau District Healthboard | Locality | HDEC-Full Review |
| 17/NTA/221 | Functional testing in PACU | Dr Joel Daubney | 23/10/2017 | 19/12/2017 | 20/11/2017 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/219 | DELINEATE | Dr Michelle Wilson | 24/10/2017 | 19/12/2017 | 1/12/2017 | Approve | The University of Auckland | Locality | HDEC-Full Review |
| 17/NTA/220 | Translated Bowel Prep Instruction in Colonoscopy | Dr Cameron Schauer | 26/10/2017 | 19/12/2017 | 7/12/2017 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/225 | e-TC 2.0 | Ms BETH COHEN | 6/11/2017 | 19/09/2017 | 11/12/2017 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/226 | Modelling LTQS using iPSCMs - NZ | Dr Jon Skinner | 9/11/2017 | 19/09/2017 | 11/04/2018 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/228 | Inquiries after a Homicide | Dr Lillian Ng | 9/11/2017 |  | 5/03/2018 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/232 | Asahi Corsair Pro XS Microcatheter Study | Dr Scott Harding | 9/11/2017 |  | 22/12/2017 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/233 | PIPPA Tamariki | Dr Stuart Dalziel | 9/11/2017 | 19/09/2017 | 26/01/2018 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/234 | Diagnostic accuracy of 10/66 dementia assessment in Māori elders (Kaumātua) | Dr Margaret Dudley | 9/11/2017 |  | 14/02/2018 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/235 | Comparison of FLAIR/T2 MRI versus contrast enhanced T1 MRI in the measurement of meningiomas. | Dr Mark Macdonald | 9/11/2017 | 7/09/2017 | 30/01/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/236 | Oxygen and Music Research | Dr David Welch | 9/11/2017 |  | 4/12/2017 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/237 | A Study of BGB-3111 Combined with Obinutuzumab Compared with Obinutuzumab in Relapsed/Refractory Follicular Lymphoma Patients | Dr Samar Issa | 9/11/2017 | 19/09/2017 | 29/01/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/238 | PATINA (AFT-38 / ANZ 1701) | Dr David Porter | 9/11/2017 | 21/09/2017 | 6/04/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/239 | Nourish to Flourish | Associate Professor Clare Wall | 9/11/2017 | 21/09/2017 | 13/02/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/241 | (duplicate) Ileostomy and Fistula Re-Infusion | A/Prof. Greg O'Grady | 9/11/2017 |  | 1/02/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/243 | Bullous pemphigoid and pemphigus in Auckland, New Zealand | Dr Jenny Chung | 9/11/2017 | 22/09/2017 | 11/01/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/242 | Falls in octogenarians | Dr Katherine Bloomfield | 10/11/2017 | 2/10/2017 | 24/11/2017 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/222 | MRI assessment of myocardial fibre architecture in CHD | Mr Cyril Tous | 13/11/2017 | 2/10/2017 | 15/02/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/245 | Monitoring of SUDI mortality | Professor Edwin Mitchell | 13/11/2017 | 2/10/2017 | 18/12/2017 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/246 | URSODEOXYCHOLIC ACID TREATMENT IN CYSTIC FIBROSIS | Dr Jonathan Bishop | 13/11/2017 |  | 11/12/2017 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/249 | Lymphogenic basis for insulin resistance in obesity | Professor John Windsor | 17/11/2017 | 21/11/2017 | 30/01/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/248 | Testicular cancer in New Zealand study | Dr Jason Gurney | 23/11/2017 | 22/11/2017 | 19/12/2017 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/250 | TWIST: Time to Walking Independently after STroke | Associate Professor Cathy Stinear | 30/11/2017 |  | 14/02/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/251 | Long term outcome in the EPO-TBI study | Ms Lynette Newby | 30/11/2017 | 30/11/2017 | 20/04/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/253 | The Cryterion Study | Dr Nigel Lever | 30/11/2017 |  | 19/03/2018 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/254 | EVOLVE 48 Study | Dr Seif El-Jack | 30/11/2017 | 4/01/2018 | 15/02/2018 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/255 | Comparison of the blood levels of two forms of ferrous sulfate/sodium ascorbate in healthy male volunteers under fasting conditions with diet control | Dr Noelyn Hung | 30/11/2017 | 4/01/2018 | 21/12/2017 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/256 | Comparison of the blood levels of two forms of ferrous sulfate/folic acid in healthy male volunteers under fasting conditions with diet control | Dr Noelyn Hung | 30/11/2017 | 4/01/2018 | 21/12/2017 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/257 | Comparison of the blood levels of two forms of ferrous sulfate in healthy male volunteers under fasting conditions with diet control | Dr Noelyn Hung | 30/11/2017 | 4/01/2018 | 19/12/2017 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/258 | CRACKLE II | Dr David Holland | 30/11/2017 |  | 19/12/2017 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/260 | Teaching iPad-based Communication | Professor Jeff Sigafoos | 30/11/2017 | 4/01/2018 | 6/04/2018 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/261 | An exploration of the experience of inpatient mental health for tāngata whaiora Māori | Dr Makarena (Margaret) Dudley | 30/11/2017 | 4/01/2018 | 19/12/2017 | Decline |  | Locality | HDEC-Full Review |
| 17/NTA/262 | GAS pharyngitis and skin infection study | Prof Michael Baker | 30/11/2017 |  | 12/02/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/17 | Defining the chain of infection of ESBL-E. coli | Dr James Ussher | 1/12/2017 |  | 30/05/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/264 | PICC Ratio | Ms Carolyn Kirker | 4/12/2017 | 4/01/2018 | 14/02/2018 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/265 | NMIBC-SI Evaluation | Mr Nicholas Buchan | 5/12/2017 |  | 18/12/2017 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/266 | Petrous apex pneumatization in children. | Mr Timothy Hardcastle | 7/12/2017 |  | 18/12/2017 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/267 | Perinatal Mortality in Pregnancies complicated by Diabetes | Dr Joanne Dixon | 11/12/2017 | 22/08/2017 | 27/03/2018 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/268 | The Patient-reported Outcomes-Cancer Instrument: Confirmatory Factor Analysis | Professor Alexandra McCarthy | 12/12/2017 |  | 6/03/2018 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/269 | Orthosports Prospective Surgical Outcomes (OPSO) Study | Doctor Matthew Brick | 18/12/2017 | 22/08/2017 | 26/01/2018 | Approve |  | Locality | HDEC-Full Review |
| 17/NTA/270 | The feasibility of home video consultations | Dr Johnny Bourke | 19/12/2017 | 18/08/2017 | 15/02/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/271 | Medicines Optimisation for Kaumātua - Understanding the needs of Kaumātua in relation to medicines optimisation services and well-being | Ms Joanna Hikaka | 19/12/2017 | 28/08/2017 | 26/01/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 17/NTA/273 | In-depth analysis of labour epidural complications | Dr Hsi-Yu Ku | 19/12/2017 | 25/08/2017 | 26/01/2018 | Decline |  | Locality | HDEC-Expedited Review |
| 17/NTA/272 | Reducing exposure to whole-body-vibration and the incidence of back pain in locomotive engineers | Dr Andrew Snell | 21/12/2017 | 21/09/2017 | 25/06/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/1 | A Novel Device for Sensing Anastomotic Leak | A/Prof. Greg O'Grady | 15/01/2018 |  | 30/01/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/2 | (duplicate) the CAFE study | Dr Andre Bourgeois | 15/01/2018 |  | 20/02/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/5 | Biomarker analysis in patients receiving high dose intravenous vitamin C | A/Prof Anitra Carr | 25/01/2018 |  | 27/02/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/9 | Cascade of care of HIV patients in New Zealand | Dr Sue McAllister | 29/01/2018 |  | 20/03/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/10 | MS Mortality Study | Dr Purwa Joshi | 31/01/2018 | 22/11/2017 | 20/03/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/11 | Anti-Mullerian hormone in ovarian tissue. | Dr Michael Pankhurst | 1/02/2018 | 18/12/2017 | 11/05/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/13 | HPV typing in young New Zealand women with high grade histology | Associate Professor Peter H Sykes | 2/02/2018 | 18/12/2017 | 12/03/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/12 | Mana Rangatahi | Dr Kahu McClintock | 8/02/2018 |  | 7/05/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/20 | Comparison of the blood levels of two forms of tamsulosin tablets in healthy volunteers under fasting conditions | Dr Noelyn Hung | 8/02/2018 | 30/01/2018 | 5/03/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/21 | Comparison of the blood levels of two forms of tamsulosin tablets in healthy volunteers under fed conditions. | Dr Noelyn Hung | 8/02/2018 |  | 5/03/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/22 | Comparison of the blood levels of two forms of tamsulosin tablets in healthy volunteers under fasting conditions and at steady state. | Dr Noelyn Hung | 8/02/2018 | 15/02/2018 | 5/03/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/23 | (duplicate) REDUCCTION | Dr David Semple | 8/02/2018 |  | 5/03/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/24 | CPAP in Radiotherapy | Dr Louis Lao | 8/02/2018 |  | 29/05/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/26 | GS-US-417-4048: A Clinical Study of Filgotinib in Adults with Normal and Reduced Liver Function | Prof Edward Gane | 8/02/2018 |  | 5/03/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/4 | Nasal high flow oxygen | Dr Alison Pirret | 8/02/2018 |  | 5/03/2018 | Decline |  | Locality | HDEC-Expedited Review |
| 18/NTA/6 | Decision-making in the Child Protection Alert System | Dr Patrick Kelly | 8/02/2018 | 20/02/2018 | 6/06/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/7 | (duplicate) SPAR | Assoc. Professor Michael Jameson | 8/02/2018 |  | 25/05/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/8 | The Beta-Lactam Infusion Group (BLING) III study | Dr Shay McGuinness | 8/02/2018 |  | 10/05/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/29 | Specialised nutrition for chemoradiotherapy in head and neck cancer | Associate Professor Lindsay Plank | 23/02/2018 | 8/03/2018 | 6/06/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/30 | Characteristics of an adolescent self-harming population | Ms Megan Lenny | 26/02/2018 | 9/03/2018 | 12/04/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/36 | ADC Patient Volunteer Register | Assoc Prof Rinki Murphy | 5/03/2018 | 9/04/2018 | 16/04/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/37 | Cricopharyngeal Dysfunction and Esophageal Diverticulum Prospective, Multi-Institutional Study Proposal | Dr Jacqui Allen | 7/03/2018 |  | 11/06/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/32 | An observational study for the optimisation of the Cxbladder SCT test for the detection of urothelial carcinoma | Prof Parry Guilford | 8/03/2018 |  |  | Provisionally approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/34 | PIF: Cultural Resiliency and Vulnerability | Dr El-Shadan Tautolo | 8/03/2018 |  | 24/05/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/35 | Early Start Denver Model “Play Date” for Young Children with Autism Spectrum Disorder and their Peers | Dr Larah van der Meer | 8/03/2018 | 9/04/2018 | 11/05/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/38 | The Effect of OLE on High School Athletes | Dr Andrea Braakhuis | 8/03/2018 | 26/04/2018 | 27/04/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/41 | Mental health and wellbeing of high risk Pasifika youth | Dr Julia Ioane | 8/03/2018 | 9/04/2018 | 29/03/2018 | Decline |  | Locality | HDEC-Full Review |
| 18/NTA/43 | Biomarkers and Intestinal Flora in Children with Gut Diseases | Mr Andrew Day | 8/03/2018 |  | 29/03/2018 | Decline |  | Locality | HDEC-Full Review |
| 18/NTA/39 | Optimizing outcome expectations of patients receiving an iron infusion | Prof. Keith Petrie | 9/03/2018 | 9/04/2018 | 27/03/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/45 | Assessment of hydration status in older adults. | A/Professor Carol Wham | 16/03/2018 | 5/05/2018 | 12/04/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/47 | TERMINOLOGY USED IN HOSPITAL DOCUMENTATION REGARDING END OF LIFE | Dr Katrina Gibson | 23/03/2018 |  | 26/04/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/54 | Pepsin Reflux Study | Dr Jacqui Allen | 4/04/2018 |  | 30/05/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/44 | Medication use and breast cancer outcomes | Professor Mark Elwood | 5/04/2018 |  | 2/05/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/48 | Smart Search | Dr Yiwen Zhang | 5/04/2018 | 28/06/2018 | 30/07/2018 | Decline |  | Locality | HDEC-Expedited Review |
| 18/NTA/49 | Oral administration of maternal bacteria in twins born by C-section | Prof Wayne Cutfield | 5/04/2018 |  | 31/05/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/50 | Dementia-friendly book groups at the Care Home: Can quality of life be improved? | Dr Dalice Sim | 5/04/2018 | 14/02/2018 | 21/05/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/53 | Narrow versus standard diameter dental implants | Dr Momen Atieh | 5/04/2018 | 30/07/2018 | 21/05/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/57 | A study comparing the blood levels of two forms of ketamine in healthy volunteers under fasting conditions | Dr Noelyn Hung | 5/04/2018 | 5/07/2018 | 11/07/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/58 | Proof of Concept Study For Next Generation Intraocular Lens Model MER002 | Dr. Dean Corbett | 5/04/2018 | 12/07/2018 |  | Provisionally approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/59 | A Study of the Efficacy and Safety of Guselkumab in Participants with Moderately to Severely Active Crohn’s Disease Study to evaluate the safety and effectiveness of guselkumab in people with moderate | Dr Michael Schultz | 5/04/2018 | 12/07/2018 | 2/05/2018 | Decline |  | Locality | HDEC-Expedited Review |
| 18/NTA/61 | Acute effect of the oral contraceptive pill on glucose homeostasis Acute effect of the oral contraceptive pill on glucose homeostasis | Dr Jennifer Miles-Chan | 16/04/2018 | 5/03/2018 | 10/05/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/62 | The Investigation of Interfaces for Positive Airway Pressure Therapy 2018 | Miss Sarah Gunson | 17/04/2018 |  | 25/05/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/65 | Use controlled-release dinoprostone vaginal pessary for induction of labour – outcomes with multiple doses and in high risk inductions. | Dr Xiamin Liang | 27/04/2018 | 2/05/2018 | 6/06/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/67 | Modified Dunn Osteotomy Versus In Situ Pin Fixation for the Treatment of Severe Slipped Capital Femoral Epiphysis | Dr Michael van der Merwe | 1/05/2018 |  | 7/06/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/60 | The benefits of participating in a 'dementia-friendly' book group at the public library: A qualitative study. | Dr Brenda Sally Rimkeit | 3/05/2018 |  | 23/08/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/66 | Renal Function in Elderly Persons Continuing and Ceasing Lithium | Dr Brian Deavoll | 3/05/2018 | 2/05/2018 |  | Provisionally approve |  | Locality | HDEC-Full Review |
| 18/NTA/68 | A Study to Assess the Safety, Tolerability, Pharmacokinetics, and Pharmacodynamics of ACH-0145548 in Healthy Participants | Dr Paul Hamilton | 3/05/2018 | 2/05/2018 | 12/06/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/69 | Effect of HM30181A on the Pharmacokinetics of Dabigatran | Dr Christopher Jackson | 3/05/2018 |  | 30/07/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/70 | Effect of HM30181A on the Pharmacokinetics of Digoxin | Dr Christopher Jackson | 3/05/2018 | 5/03/2018 | 30/07/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/71 | BO39633 - Atezolizumab Oncology Extension Study (IMBRELLA) | Dr Richard Sullivan | 3/05/2018 | 28/05/2018 | 31/07/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/73 | Failure of the habit system as a biomarker of Parkinson's disease. | Professor John Reynolds | 11/05/2018 |  | 28/05/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/74 | The effect of MitoQ® and Ubiquinol on mitochondrial function | Dr Cameron Mitchell | 11/05/2018 |  | 14/06/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/78 | Physiological abnormality in chronic venous disease | Dr Odette Hart | 29/05/2018 | 28/06/2018 | 12/06/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/79 | Distribution of eye care in New Zealand | Dr Jacqueline Ramke | 30/05/2018 | 2/07/2018 | 12/06/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/80 | Both bone forearm infected nonunion: salvage by conversion to a one-bone forearm with vascularized fibula flap. Report of a successful salvage and review of the literature. | Dr. Chris Xu | 31/05/2018 | 24/07/2018 | 5/07/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/81 | Social Rhythm Therapy for Bipolar Disorder in the Community | Ms Hayley Wells | 1/06/2018 |  | 10/08/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/84 | Isolated Antibodies Against Ro52 and their Significance in the Waikato Hospital Systemic Sclerosis Cohort | Dr Douglas White | 5/06/2018 | 10/07/2018 | 29/06/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/86 | Meningococcal disease with reduced penicillin susceptibility. | Dr Simon Briggs | 5/06/2018 | 20/02/2018 | 20/06/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/87 | Gut Dysfunction in Critical Illness | Ms Varsha Asrani | 5/06/2018 | 10/07/2018 | 27/06/2018 | Decline |  | Locality | HDEC-Full Review |
| 18/NTA/91 | Microbiological spectrum and antibiotic sensitivity of endophthalmitis in New Zealand: multi-centre, 10-year review | Dr Moaz Alshaikhi | 5/06/2018 | 12/07/2018 |  | Provisionally approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/82 | ZEST EU | Professor Peter Gilling | 7/06/2018 | 14/02/2018 |  | Provisionally approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/83 | The gut microbiome in autism spectrum disorder (ASD) | Associate Professor Mike Taylor | 7/06/2018 |  |  | Provisionally approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/85 | (duplicate) E Oho Rangatahi | Dr Kahu McClintock | 7/06/2018 | 14/02/2018 |  | Provisionally approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/88 | Prolonged mechanical ventilation following adult cardiac surgery | Dr Paul Young | 7/06/2018 |  | 28/06/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/89 | Residential Aged Care Facilities and antibiotic resistance: A feasibility study | Dr Patricia Priest | 7/06/2018 | 20/02/2018 |  | Provisionally approve |  | Locality | HDEC-Full Review |
| 18/NTA/92 | A Phase 2, Placebo-Controlled, Double-Masked Study to Assess Safety and Efficacy of ISIS 696844, an Antisense Inhibitor of Complement Factor B, in Patients with Geographic Atrophy Secondary to Age-Rel | Dr Philip Polkinghorne | 7/06/2018 |  |  | Provisionally approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/93 | Titan | Dr. Dean Corbett | 7/06/2018 | 14/02/2018 |  | Provisionally approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/94 | CLEAR Outcomes Study | Dr. Raewyn Ann Fisher | 7/06/2018 | 14/02/2018 |  | Provisionally approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/95 | OOC-ACM-303: Study of the Efficacy and Safety of Octreotide Capsules in Patients who Previously Tolerated and Responded to Injectable Octreotide or Lanreotide Treatment | Dr Patrick Manning | 7/06/2018 | 22/02/2018 | 28/08/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/98 | Treatment and prognosis in posterior circulation stroke - Basilar Artery Treatment and MANagement Collaboration | Dr Teddy Wu | 14/06/2018 | 19/03/2018 | 27/08/2018 | Decline |  | Locality | HDEC-Expedited Review |
| 18/NTA/101 | Demographics and Clinical Course of non 16/non 18 High Risk HPV Positive Women Post Treatment | Dr Lucy Prentice | 27/06/2018 |  |  | Provisionally approve |  | Locality | HDEC-Full Review |
| 18/NTA/102 | Retrospective study of unruptured intracranial aneurysms | Dr Ben McGuinness | 29/06/2018 | 15/05/2018 |  | Provisionally approve |  | Locality | HDEC-Full Review |
| 18/NTA/103 | The Preterm Birth Clinic Wellbeing Study | Dr Lisa Dawes | 29/06/2018 | 27/04/2018 | 31/07/2018 | Approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/100 | Patient perspectives of wound imaging within a diabetes podiatry clinic | Mrs Helen Heenan | 4/07/2018 |  | 9/08/2018 | Approve |  | Locality | HDEC-Full Review |
| 18/NTA/104 | Exploring medication safety with frail older people with multi-morbidity and their families and whānau across care transitions | Dr Aileen Collier | 5/07/2018 |  |  | Provisionally approve |  | Locality | HDEC-Full Review |
| 18/NTA/99 | MK3475 - 811 | Dr Ben Lawrence | 5/07/2018 | 8/05/2018 |  | Provisionally approve |  | Locality | HDEC-Expedited Review |
| 18/NTA/105 | mPr | Dr Rosie Dobson | 9/07/2018 | 7/05/2018 | 19/08/2018 | Approve |  | Locality | HDEC-Expedited Review |

# Declaration by Head of Organisation with Primary Responsibility for the EC

## Declaration by EC Chairperson

**Name of EC: Northern A Health and Disability Ethics Committee**

**I declare for the above named EC:**

* that the information supplied on this form and any attachment(s) is true and correct; and
* that, for the period to which this form relates, the EC has operated in accordance with relevant Guidelines and Legislation.

**Name:** Brian Fergus\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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**Signature: Date:** 28 September 2018

## Declaration by Head of Organisation with Primary Responsibility for the EC

**Name of EC: Northern A Health and Disability Ethics Committee**

**Name of organisation:** Ministry of Health

**On behalf of the above named organisation, and in relation to the above named EC, I declare that:**

* I am duly authorised to sign this declaration;
* the information supplied on this form and any attachment(s) is true and correct;
* the EC is adequately resourced and maintained;
* for the period to which this form relates, the organisation ensured that the EC’s Terms of Reference included information on the:
	+ scope of its responsibilities,
	+ relationship to non-affiliated researchers,
	+ accountability,
	+ mechanisms of reporting, and
	+ remuneration (if any) for members;
* the organisation accepts legal responsibility for decisions and advice received from the EC; and
* EC members are indemnified.

**Name:** Mr Rob McHawk

 Title First Name Last Name

**Position :** Acting Manager - Ethics

**E-mail :** rob.mchawk@moh.govt.nz

**Signature: Date:** 28 September 2018

1. Data is directly reported from the online system. Any errors in spelling is reflected due to errors made by the applicant. [↑](#footnote-ref-1)