

Northern B Health and Disability Ethics Committee

Annual Report  
2020

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# About the committee

The Northern B Health and Disability Ethics Committee (HDEC) is a Ministerial committee established under section 11 of the [New Zealand Public Health and Disability Act 2000](http://www.legislation.govt.nz/act/public/2000/0091/latest/DLM80051.html). Its members are appointed by the Minister of Health through the public appointments process.

The primary function of the Committee is to provide independent ethical review of health research and innovative practice in order to safeguard the rights, health and wellbeing of consumers and research participants and, in particular, those persons with diminished autonomy.

The Committee is required by its [Terms of Reference](http://www.ethicscommittees.health.govt.nz/moh.nsf/indexcm/ethics-about-central#tor) to submit an Annual Report to the Minister of Health. The Annual Report must include information on the membership of the Committee, a summary of the applications reviewed during the year, details of any complaints received (and how they were resolved), and areas of review that caused difficulty when making decisions, among other matters.

### Approvals and registrations

The Northern B HDEC is approved by the Health Research Council Ethics Committee for the purposes of section 25(1)(c) of the [Health Research Council Act 1990](http://legislation.govt.nz/act/public/1990/0068/latest/DLM213017.html).

The Northern B HDEC is registered (number IRB00008715) with the United States’ Office for Human Research Protections. This registration enables the committee to review research conducted or supported by the US Department of Health and Human Services.

# Chairperson’s report

It is my privilege to present this Annual Report of the work of Northern B HDEC over the last year. In the year ending June 2020, the committee reviewed a total of 193 applications. 96 of these were intervention studies and 97 were observational research. 93 applications were reviewed at full committee meetings, while 100 came through the expedited (low risk) pathway. Together with an increase in numbers of applications, the complexity of research in New Zealand continues to grow . The majority receive provisional approval during the first review round. I am happy to confirm that Northern B has functioned effectively over the reporting period, and in accordance with the HRCEC’s expectations for proper process and membership, the Guidelines for the Approval of Ethics Committees, and HDECs Standard Operating Procedures. My sincere thanks go to all Members and staff of the HDEC Secretariat for ensuring that the committee functions smoothly, even as the challenge of COVID-19 demanded more of us all.

The introduction of the updated NEAC Ethical Standards in December 2019 has been very well received by both local and off-shore research sponsors since they provide a systematic framework for applicants in preparing their submissions, as well as for the committee’s deliberation and decision making . The subtle but important shift from Guidelines to Standards has removed ambiguity, and allows for human participants research across New Zealand to achieve greater consistency, especially with respect to studies with vulnerable participants, data and tissue management, and protocol planning. Even as researchers are increasingly nesting their research development and design within the guidance provided by the new Ethical Standards, I encourage their institutions to review their research procedures and ensure that policies are in place which match the expectations for duly responsible governance, especially with respect to data management and the establishment of organisational data committees.

Membership has been steady and no new appointments have been made: the processes for appointments and renewals are still slow as reported previously. As a result, a number of us are still serving after our previous terms have expired. I am especially grateful for those members who have indicated that they do not wish to have their appointment renewed for a further term, but who continue to serve the committee in the meantime so as to avoid disruption. A call for expressions of interest was made in August 2020 and it is likely that new appointments will be confirmed after the General Election. Of note especially is the fact that the shift to Zoom meetings, required as part of the pandemic response and which is likely to remain in place as standard meeting procedure, removes the geographic restrictions for members to reside within reasonable distance from the Auckland meeting facility. The opportunity to discuss proposals with researchers in a (virtual) face to face way, rather than as teleconference, is of benefit. The members’ fees structures have still not been reviewed for a number of years, as reported previously, and are not commensurate with Members’ expertise and the national-level responsibility of each of the 4 HDECs.

HDECs as a whole responded admirably to the challenge of COVID-19. The emergency operating procedure and the COVID-HDEC was devised and convened under Rob McHawk’s guidance in a very timely way for the first Lockdown, and sincere thanks must go to the HDEC members from each of the 4 committees and each of the Advisors in the Secretariat who stepped up to the challenge whilst also ensuring a continuation of service in business-as-usual activities. While new COVID related research proposals were relatively few (and received review by the full COVID committee under rapid timeframes), there was a deluge of amendment applications for expedited review presented from researchers with previously HDEC-approved research who wished to change research procedures to protect participants whilst ensuring the continuation of the pursuit of their research objectives. The diligence of the New Zealand contract research organisations who take on international Sponsors’ responsibilities, the Research Offices in localities, and the research officers and independent monitors really came to the fore. The collective responsiveness I believe holds New Zealand health research in very good stead for a successful future: Since a pandemic type response (involving remote safety monitoring, telehealth, dispensing at community pharmacies etc) will be embedded in protocols going forward, the fact New Zealand research has demonstrated that it is all possible will likely make us an even more desirable research location.

Looking forward to the coming year notable challenges face HDECs. A new electronic portal and database to replace the outdated RED will be customised and rolled out, requiring an update to the Standard Operating Procedures, and an upgrade to the application form. The current Right 7(4) in the Code of Patient Rights is still having a chilling effect for reasons of lawfulness on some important research in critical care and dementia research, despite the Health and Disability Commission’s conclusion after wide consultation that the ‘best interest’ threshold is not properly positioned. The consequence of slow progress of Therapeutic Products legislation is that medical device research (including first-in-human trials) proceeds in an unregulated space. More frequently, increasingly complex research designs, including integrated and umbrella protocols and adaptive designs, introduce both procedural and ethical challenges. Lastly, the voluntary Medicines New Zealand Guidelines on compensation in clinical trials are not ACC- equivalent in important respects and result in ambiguity for HDECs in fulfilling their responsibilities of ensuring that adequate trial cover is in place, along with confusion for potential trial participants. While some of these challenges are new, some are held over from the past. Given the careful, pragmatic and proportional work of NTB demonstrated over the last three years I remain confident that the committee will continue its work of ensuring that ethical standards that aim to protect participants in health research are met, and I recommend that the HRCEC renews its approval of the committee for another term.

Kate O’Connor

Chair – Northern B HDEC

# Membership and attendance

In accordance with the Northern B Health and Disability Ethics Committee Terms of Reference, no gaps have been identified within the core membership. As per Chapter 5 of the SOPs, gaps to expertise may have members co-opted from another HDEC in the event of an absence for a meeting by a member. Members being co-opted is outlined in the membership portion further in the report.

## Membership

### List of EC members within the reporting period

**Mrs Kate O’Connor (Chair)**

Membership category: Ethical and Moral Reasoning

Date of appointment: 14th December 2015

Current term expires: 14th December 2020

Kate O'Connor was formerly the Executive Secretary of the AUT Ethics Committee. Prior to this she worked as a research Contracts Manager for Uniservices Ltd in the University of Auckland's School of Population Health, was Secretary for the University of Auckland's Human Participants Ethics Committee, and the Biological Safety Committee. She has Master of Arts in philosophy and a Post Graduate Diploma in business. She was on the Working Party of the NEAC National Ethical Standards, and Royal Society Te Apārangi's Code of Professional Standards and Ethics. She is a member of the Data Ethics Advisory Group (NZ Stats).

**Mr John Hancock**

Membership category: Law

Date of appointment: 14th December 2015

Current term expires: 14th December 2019

John is currently a Senior Legal Adviser at the Human Rights Commission. Prior to that, he was Principal Adviser (Legal) at the Office of the Children’s Commission. He practiced for many years as a Youth Advocate in the Auckland Youth Court and was the senior supervising solicitor at YouthLaw, a community law centre that provides a free legal service for people aged under 25. He also has extensive experience in civil society advocacy and law reform work, international human rights reporting and has served on Ministerial committees and the boards of non-government organisations.

**Dr Nora Lynch**

Membership category: The provision of health and disability services

Date of appointment: 25th July 2015

Current term expires: 24th July 2022

Dr Nora Lynch is:

* member of a committee of specialist rheumatologists which prepared treatment guidelines for local specialist use
* Ministry of Health Ethics Committee Member (HDEC- NTB) 2015 onwards
* Ministry of Health Working Party – National Research Ethics Guidelines Update 2017 onwards
* CMA (Companionship and Morning Activities for the elderly)
* Board Member 2015- Chair 2017-MEMBERSHIPS New Zealand Rheumatology Association- awarded Life Membership 2014
* American College of Rheumatology- International Fellow
* Fellow Royal Australasian College of Physicians.

**Mrs Jane Wylie**

Membership category: The design and conduct of intervention studies

Date of appointment: 19th May 2017

Current term expires: 19th May 2020

Jane Wylie was originally a Medical Laboratory Scientist, and after raising a family and completing a DipSocSci (Massey 1994) she returned to work in 2001 as New Zealand Coordinator for an Australasian research consortium into familial breast cancer. In 2006 she moved to clinical trials work for the Haematology Research Unit at Auckland Hospital, acting as Team Leader for several years, and then in 2014 to new trials development and quality management in Cancer Trials New Zealand at the University of Auckland. During these years she obtained a MHSc(Hons) with post-grad. studies in medical ethics, health law, research design and cancer therapies. Most recently Jane has been a Research Project Manager at the University of Auckland of studies investigating molecular profiling in blood cancers. Jane also held a part-time research consultancy facilitating projects across a range of health disciplines (2005-2012). Other roles include secondment to the NZ Health Innovation Hub (2012), and she currently serves on the Auckland Health Research Ethics Committee (AHREC) under the Auckland Academic Health Alliance and is a member of several health professional organisations.

**Mrs Stephanie Pollard**

Membership category: The design and conduct of intervention studies

Date of appointment: 14th December 2015

Current term expires: 14th December 2019

Mrs Stephanie Pollard is currently employed as an independent Clinical Research Consultant. With a 20 year career in clinical trials she specialises in oncology trials and previously held Research Manager roles at the University of Auckland (2007-2011) and University of Leeds, UK (1996-2006). She obtained a Master’s degree in Healthcare Ethics and Law in 2005.

**Miss Tangihaere Macfarlane\***

**Membership category: Consumer/ community perspectives**

**Date of appointment: 20th May 2017**

**Current term expires: 19th May 2020**

Miss Macfarlane (Te Arawa, Ngāti Whakaue, Ngāti Pikiao, Ngāti Rangiwewehi) is Quality and Risk Manager, Complaints Officer and Privacy Officer at Te Roopu Taurima O Manukau Trust (2011 – present). She has previously held positions on the Lakes District Health Board Community & Public Health Advisory Committee (2001 – 2007), Te Kotahitanga o Te Arawa Fisheries Trust (2004 – 2013), and Te Roopu Hauora o Te Arawa Trust (2001 – 2007). Miss Macfarlane has completed a Bachelor of Health Science (2007) and a Diploma in Māori Business (2004), among other studies.

**Mrs Leesa Russell**

Membership category: Consumer/ community perspectives

Date of appointment: 14th December 2015

Current term expires: 14th December 2020

Mrs Leesa Russell is Business Manager for Planning, Funding and Outcomes at Waitemata DHB and is seconded to Metro Auckland Data Sharing Programme Manager to implement findings of a recent review. She has a Post Graduate Diploma in Quality Systems at Massey looking at Metro Auckland Data Sharing, and is Chair of the University of Auckland Human Participants Ethics Committee B. She has previously worked as a Business Manager in Primary Care, was the Project Manager responsible for System Level Measures in the Metro Auckland Region. She has experience working in clinical quality, improvement and audit related roles, including Quality Lead at Waitemata DHB and Clinical Audit Manager at St John, Process Manager and Extended Storage Co-ordinator at Fertility Associates, working closely with ECART. Her interest in ethics began with the role of Ethics Coordinator at the Health Research Council and prior to this she worked in clinical research roles including Research Fellow in Neuropsychology. She has a Masters and a Bachelors degree in (healthcare related) Sociology from the University of Auckland.

**Ms Susan Sherrard**

Membership category: Consumer/ community perspectives

Date of appointment: 19th March 2019

Current term expires: 19th March 2022

My passion is to improve the lives of disabled people. I identify as a disabled woman, partner and mother. I have been working in the disability sector for over 30 years, in positions including working directly with disabled people and whānau, Disabled Person led Organisations, Community, Government and service providers. My qualifications support the work I do, a Master of Arts in Nursing, and a Diploma in Psychotherapy.

## Attendance

|  |
| --- |
| **LEGEND:**  **\*** After name indicates Māori member  **Y** = Present  **A** = Apology  **X** = Meeting cancelled / No meeting scheduled  **/** = Not a member of committee during this time |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Members** | ***Membership category***  ***(L/NL)*** | **July** | **Aug** | **Sept** | **Oct** | **Nov** | **Dec** | **Jan** | **Feb** | **Mar** | **April** | **May** | **June** | **Total** |
| Mrs Kate O’Connor | L | Y | Y | X | Y | Y | Y | X | Y | Y | Y | Y | Y | 10/10 |
| Mr John Hancock | L | Y | Y | X | Y | Y | Y | X | Y | Y | Y | Y | Y | 10/10 |
| Dr Nora  Lynch | NL | Y | Y | X | Y | A | Y | X | Y | A | Y | Y | Y | 8/10 |
| Mrs Jane  Wylie | NL | A | A | X | Y | Y | A | X | Y | Y | Y | Y | Y | 7/10 |
| Mrs Stephanie  Pollard | NL | A | Y | X | A | A | Y | X | Y | Y | Y | Y | Y | 7/10 |
| Miss Tangihaere  Macfarlane\* | L | Y | Y | X | Y | Y | Y | X | Y | Y | Y | Y | Y | 10/10 |
| Mrs  Leesa  Russell | NL | Y | Y | X | Y | Y | Y | X | A | Y | Y | A | Y | 8/10 |
| Mrs  Susan  Sherrard | L | Y | Y | X | A | Y | Y | X | Y | Y | Y | Y | Y | 9/10 |
| **Total no. of members present** |  | 6 | 7 | X | 6 | 6 | 7 | X | 7 | 7 | 8 | 7 | 8 |  |
| **No. of applications considered** |  | 9 | 12 | X | 10 | 8 | 10 | X | 9 | 7 | 7 | 5 | 5 |  |

## Training and conferences

**Outline the strategies that had been used in inducting new members and developing the expertise of committee members over the last 3 years**

Informal pre-meeting training on areas requested by the Committee have been implemented on request. Topics covered in these pre-meeting training have included challenging an HDEC decision and the route a researcher can take, and an informed consent overview.

In each printed agenda, the members are all supplied with an article about a current piece of research or article regarding ethics in the area of research ethics to keep them up to date with what is happening within the sector / academia.

In months that have an extra Tuesday, a Chairs Day meeting is held to bring the Chairs together with the Secretariat as well as other representatives in the sector. During these meetings the chairs and Secretariat go over topics often raised in meetings or by the members and help develop the expertise of the committee overall with the Chair feeding back information learned across the one or two days. In addition, the chairs get to have a meeting with the Minister. Topics covered in the past three years have included maintaining consistency across HDECS, ACC/Insurance cover, Medsafe/Devices, Therapeutics Bill, the development and roll-out of the new NEAC standatds, and Right 7(4),

Currently there are plans to rollout sector engagement training with the Secretariat and HDEC members to engage with the sector (primarily researchers) on topics most relevant to the HDECs currently. This list includes Scope of Review/Application questions, and Participant Information Sheet and Informed Consent.

The 2019 NEAC Standards were in development for the past three years, with engagement with the HDECs throughout. Training once finalized was subsequently rolled out for all members.

Induction training for new members covers administrative and ethical information, going over the scope of the role of HDECs, discussing local and international precedents, and going over NEAC standards and case-studies.

# Applications reviewed

### Summary of applications received by full EC

|  |  |
| --- | --- |
| No. of applications approved | 0 |
| No. of applications approved subject to conditions | 9 |
| No. of applications deferred and subsequently approved | 64 |
| No. of applications deferred as at time of report | 9 |
| No. of applications that were declined because of no/insufficient consultation with appropriate Māori/whanau/iwi/hapu | 0 |
| No. of applications that were declined because of no/insufficient consultation with appropriate cultural group | 0 |
| No. of applications declined (This excludes those with no/insufficient consultation with appropriate Māori/whanau/iwi/hapu/cultural group) | 12 |
| No. of applications which do not require ethics committee approval (Note this can include incomplete applications) | 21 |
| No. of studies withdrawn by researcher | 0 |
| No. of studies terminated by sponsor | 0 |
| No. of studies transferred to another EC | 0 |
| **Total number of applications received by full EC** | **115** |

### Summary of applications received under expedited / low risk review

|  |  |
| --- | --- |
| No. of applications approved | 86 |
| No. of applications deferred as at time of report | 6 |
| No. of applications which do not require ethics committee approval (Note this can include incomplete applications) | 10 |
| No. of applications referred for full committee review | 0 |
| No. of applications declined | 8 |
| No. of studies withdrawn by researcher | 0 |
| **Total number of applications received under expedited / low risk review** | **110** |

|  |  |
| --- | --- |
| Total number of applications received: | 225 |

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# Complaints and overdue application summary

This section outlines complaints about decisions made by the Committee during 2016.

## Complaints received

**Complaint One**

**Study Reference:** 14/NTB/122

**Type of Complaint:** Public Complaint

**Review Date:** 9 September 2014

**Complaint Received**: 3 June 2020

**Nature of Complaint:**

Clinical Trials Manager had been asked to collect data for an existing project and as part of this involvement they wanted to ensure that all regulatory requirements were in place. During review the Trials Manager found holes in data collected and was informed not to worry about it. The HDEC was contacted due to concerns that the study did not meet the NEAC Standards or GCP compliant.

**Actions Taken:**

* Complaint sent to Manager
* Manager acknowledged the complaint with possible breaches of the NEAC Standards.
* Chair of Northern B HDEC informed.
* Letter written to CI of project asking to address concerns.

**Outcome:**

Still under investigation

# 

# Appendix 1: Details of applications reviewed[[1]](#footnote-1)

## Applications reviewed by full committee

| **Study reference** | **Short title** | **CI Name** | **Clock Start** | **Date of provisional approval** | **Study status** | **Date of final decision** | **CI Organisation [locality not captured]** | **Main sponsor type** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 19/NTB/108 | Transition of care from paediatric to adult renal services | Dr Claudia Vallebella | 25/07/2019 | 19/08/2019 | Approve | 10/09/2019 |  | no sponsor |
| 19/NTB/109 | Thoracic aorta ratio | Dr Minesh Prakash | 12/07/2019 |  | Decline | 26/07/2019 |  | no sponsor |
| 19/NTB/110 | Sleeve gastrectomy revisional surgery trial | Mr Michael Booth | 15/07/2019 | 30/07/2019 | Approve | 5/11/2019 | Waitemata dhb | no sponsor |
| 19/NTB/111 | Delabelling of penicillin antibiotic allergies | Miss Grace Chan | 16/07/2019 | 1/08/2019 | Approve | 9/10/2019 | Auckland District Health Board | no sponsor |
| 19/NTB/112 | New Zealand Adolescent and Young Adult Cancer Incidence and Survival 2008-2018 | Mrs Kirsten Ballantine | 16/07/2019 | 2/08/2019 | Approve | 5/09/2019 | National Child Cancer Network NZ | no sponsor |
| 19/NTB/113 | (duplicate) Unstable Intertrochanteric Neck of Femur Fractures in the Geriatric Population: Short or Long Proximal Femoral Nail (PFN)? | MR Joseph Baker | 25/07/2019 | 20/08/2019 | Approve | 1/10/2019 | Waikato Hospital | district health board (DHB) |
| 19/NTB/114 | Cognitive Stimulation Therapy for Māori and Pasifika people with dementia | Dr Gary Cheung | 25/07/2019 | 20/08/2019 | Approve | 5/09/2019 | University of Auckland | academic institution |
| 19/NTB/115 | Isolate study | Dr. Julie Bennett | 25/07/2019 | 20/08/2019 | Approve | 26/09/2019 |  | no sponsor |
| 19/NTB/116 | Cellular Basis for Developmental Disorders | Professor Stephen Robertson | 25/07/2019 |  | Approve | 20/08/2019 |  | academic institution |
| 19/NTB/117 | EV ICD Pivotal Study (MDT16028) | Dr Ian Crozier | 25/07/2019 | 20/08/2019 | Approve | 10/09/2019 | Cantebury District Health Board | medical device company |
| 19/NTB/118 | MiaoMiao randomised controlled trial | A/Prof. Ben Wheeler | 25/07/2019 | 20/08/2019 | Approve | 26/09/2019 | Otago University | no sponsor |
| 19/NTB/119 | Tank water versus municipal water supply: does it affect surgical site infection in skin cancer surgery? | Dr Young Lee | 25/07/2019 | 6/08/2019 | Approve | 15/08/2019 |  | no sponsor |
| 19/NTB/120 | BAZAAR study: Bacterial Azithromycin and Antibiotic Resistance | Assoc Prof Catherine Byrnes | 25/07/2019 | 20/08/2019 | Approve | 2/10/2019 | University of Auckland | no sponsor |
| 19/NTB/121 | A clinical research study that will look at whether SCO-792 is tolerated, how safe it is and whether it works for people with increased body weight and type 2 diabetes. | Prof Russell S Scott | 25/07/2019 | 20/08/2019 | Approve | 5/09/2019 |  | pharmaceutical company |
| 19/NTB/122 | SUMMIT NZ | Dr Stefan Brew | 25/07/2019 | 20/08/2019 | Approve | 5/09/2019 | Auckland City Hospital | medical device company |
| 19/NTB/123 | WRAPSODY FIRST ILIAC | Associate Professor Andrew Holden | 25/07/2019 | 20/08/2019 | Approve | 16/10/2019 | Auckland City Hospital | medical device company |
| 19/NTB/124 | SCENIC: Effectiveness and Safety study of inhaled RVT-1601 in IPF (RVT1601-CC-04) | Professor Lutz Beckert | 25/07/2019 | 20/08/2019 | Approve | 26/09/2019 | University of Otago, Christchurch | pharmaceutical company |
| 19/NTB/125 | Central and peripheral chemoreflexes in hypertension | Dr James Fisher | 24/07/2019 |  | Approve | 6/08/2019 | University of Auckland | academic institution |
| 19/NTB/128 | Causation in Opioid use disorders | Doctor Matthew Jenkins | 20/08/2019 | 29/08/2019 | Approve | 11/09/2019 | Waikato DHB | no sponsor |
| 19/NTB/129 | The molecular epidemiology and transmission of TB in NZ | Dr Veronica Playle | 17/08/2019 |  | Approve | 26/08/2019 | The University of Auckland | no sponsor |
| 19/NTB/130 | Analysis of osseous spinal column morphology | MR Joseph Baker | 17/08/2019 | 26/08/2019 | Approve | 11/09/2019 | Waikato Hospital | no sponsor |
| 19/NTB/132 | PHOSPHATE Trial | Professor Suetonia Palmer | 23/08/2019 | 5/09/2019 | Approve | 23/09/2019 | University of Otago | academic institution |
| 19/NTB/133 | TEACH-PD | Professor Suetonia Palmer | 21/08/2019 | 3/09/2019 | Approve | 23/09/2019 | University of Otago | academic institution |
| 19/NTB/135 | NWH - Emergency Laparotomy Study | Dr Max Hattaway | 21/08/2019 |  | Approve | 26/08/2019 | Auckland District Health Board | no sponsor |
| 19/NTB/140 | Predicting the efficacy of oral anticoagulants | Dr Chanel-Renata Heather | 22/08/2019 | 11/09/2019 | Approve | 20/09/2019 | Canterbury DHB | no sponsor |
| 19/NTB/142 | EBV positive Plasmacytoma's | Dr Jared Williams | 4/09/2019 | 16/09/2019 | Approve | 12/11/2019 | Wellington hospital, Capital and coast DHB | no sponsor |
| 19/NTB/143 | Group Transdiagnostic Treatment for Anxiety and Depression in Primary Care | Dr Ben Beaglehole | 5/09/2019 | 16/09/2019 | Approve | 23/09/2019 | University of Otago, Christchurch | no sponsor |
| 19/NTB/144 | The molecular fingerprint of cancer in inflammatory bowel disease | Ms Tamara Mullaney | 6/09/2019 | 16/09/2019 | Approve | 2/10/2019 | University of Otago Christchurch | no sponsor |
| 19/NTB/145 | Food Induced Anaphylaxis | Dr Maia Brewerton | 6/09/2019 |  | Approve | 16/09/2019 | Auckland District Health Board | no sponsor |
| 19/NTB/146 | Pilot virtual reality intervention for women with advanced breast cancer | Dr Lisa Reynolds | 6/09/2019 | 16/09/2019 | Approve | 26/09/2019 | The University of Auckland | academic institution |
| 19/NTB/147 | The correlation between cleft palate width and incidence of post-operative palatal fistula in the Midlands, New Zealand | Dr Young Lee | 6/09/2019 | 16/09/2019 | Decline | 23/09/2019 |  | no sponsor |
| 19/NTB/148 | WWP:Irritable Bowel Syndrome | Dr Bobbi Laing | 6/09/2019 | 20/09/2019 | Approve | 2/10/2019 | University of Auckland | academic institution |
| 19/NTB/149 | Are online video games a gateway to problem gambling among Pacific youth?” | Dr Seini Taufa | 6/09/2019 | 20/09/2019 | Approve | 13/12/2019 |  | non-governmental organisation (NGO) |
| 19/NTB/151 | Factorial4VLU | Professor Andrew Jull | 19/09/2019 | 15/10/2019 | Approve | 6/01/2020 | University of Auckland | no sponsor |
| 19/NTB/157 | Genetics of Stuttering | Prof Lynette Sadleir | 19/09/2019 |  | Decline | 15/10/2019 |  | no sponsor |
| 19/NTB/158 | GATER Trial | Dr Rajesh Nair | 19/09/2019 | 15/10/2019 | Approve | 2/12/2019 | Waikato Hospital | medical device company |
| 19/NTB/159 | Long-term safety and efficacy of Bimatoprost SR | Professor Anthony Wells | 19/09/2019 | 15/10/2019 | Approve | 25/11/2019 |  | pharmaceutical company |
| 19/NTB/160 | An analysis of variability in care pathways | Assoc. Prof. Craig Webster | 21/09/2019 |  | Approve | 2/10/2019 | University of Auckland | academic institution |
| 19/NTB/161 | Balloon Angioplasty versus Shockwave Intravascular Lithotripsy for calcified coronary stenoses | Dr Seif El-Jack | 19/09/2019 | 15/10/2019 | Approve | 15/11/2019 | Waitemata District Health Board | no sponsor |
| 19/NTB/162 | A comparison of two local anaesthetic solutions in the maintenance of labour epidurals | Dr Leesa Morton | 24/09/2019 | 4/10/2019 | Approve | 9/10/2019 | Canterbury District Health Board | no sponsor |
| 19/NTB/163 | MASTERSTROKE - The Study | Dr Douglas Campbell | 19/09/2019 | 15/10/2019 | Approve | 15/11/2019 | Auckland District Health Board | collaborative research |
| 19/NTB/164 | The DeLIVER NZ Study | Dr Mark Webster | 19/09/2019 | 15/10/2019 | Approve | 5/11/2019 | Auckland District Health Board | medical device company |
| 19/NTB/165 | Proton Pump Inhibitors in Chronic Liver Disease | Dr Ashok Raj | 20/09/2019 | 26/09/2019 | Approve | 16/10/2019 | Counties Manukau DHB | no sponsor |
| 19/NTB/166 | BIONIC 10 year follow up | A Prof Alice Theadom | 19/09/2019 | 15/10/2019 | Approve | 5/11/2019 |  | academic institution |
| 19/NTB/167 | C3671003: An Investigational Respiratory Syncytial Virus (RSV) Vaccine in Pregnant Women | Dr Joanna (Jo) Gullam | 19/09/2019 | 15/10/2019 | Approve | 10/12/2019 | Christchurch Clinical Studies Trust Ltd | pharmaceutical company |
| 19/NTB/168 | Needle-free Sensing of Blood Glucose | Professor Andrew Taberner | 21/09/2019 | 26/09/2019 | Approve | 25/11/2019 |  | academic institution, other government agency |
| 19/NTB/169 | Can omega-3s help concussion recovery? | Mr Josh McGeown | 19/09/2019 | 15/10/2019 | Approve | 5/02/2020 | Auckland University of Technology | no sponsor |
| 19/NTB/171 | Design requirements and usability of a desk-based robotic device for upper-limb stroke rehabilitation | Dr Edgar Rodriguez | 20/09/2019 | 26/09/2019 | Approve | 7/01/2020 | Victoria University of Wellington | academic institution, collaborative research |
| 19/NTB/172 | RAPID: Pharmacovigilance in hospice and palliative care | Professor David Currow | 25/09/2019 |  | Approve | 7/10/2019 | University of Technology Sydney, NSW, Australia | collaborative research |
| 19/NTB/173 | Correlation of measles antibody levels with rubella antibody levels | Dr. Maxim Bloomfield | 11/10/2019 | 11/10/2019 | Approve | 16/10/2019 | CCDHB | no sponsor |
| 19/NTB/174 | Mild Traumatic Head Injury in Auckland | Dr Astrid Atlas | 9/10/2019 |  | Decline | 18/10/2019 | University of Auckland/Department of Surgery | no sponsor |
| 19/NTB/175 | The effects of reducing insulin versus eating carbohydrates on glycaemic control and performance with aerobic exercise in type 1 diabetes | Dr. Ryan Paul | 14/10/2019 | 30/10/2019 | Approve | 12/11/2019 | Waikato District Health Board | academic institution |
| 19/NTB/176 | (duplicate) The correlation between cleft palate width and incidence of post-operative palatal fistula in the Midlands, New Zealand | Dr Young Lee | 14/10/2019 |  | Approve | 30/10/2019 |  | no sponsor |
| 19/NTB/178 | AR882-201: Assessment of the trial drug AR882, taken with and without febuxostat or allopurinol, in adults with gout. | Dr Chris Wynne | 24/10/2019 |  | Approve | 19/11/2019 | Christchurch Clinical Studies Trust Ltd | pharmaceutical company |
| 19/NTB/179 | VR Rehabilitation after Traumatic Brain Injury | Dr Kristin Gozdzikowska | 12/10/2019 | 24/10/2019 | Approve | 29/11/2019 |  | no sponsor |
| 19/NTB/180 | RURAL: Rural & Urban Risks of Appendicitis CompLications |  | 24/10/2019 | 19/11/2019 | Approve | 20/12/2019 |  | district health board (DHB) |
| 19/NTB/183 | A Pilot Study: Assessing the Effectiveness of a Combined Intervention of Exercise and Mindfulness in Adults with Anxiety | Ms Jessica Allan | 17/10/2019 |  | Decline | 24/10/2019 | University of Canterbury | no sponsor |
| 19/NTB/185 | Out-of-Hospital Stroke Registry (OHSR):Observational Study Protocol | Professor Bridget Dicker | 17/10/2019 | 5/11/2019 | Approve | 5/12/2019 | St John New Zealand | no sponsor |
| 19/NTB/186 | Out-of-Hospital Heart Attack Registry (OHHAR):Observational Study Protocol | Professor Bridget Dicker | 17/10/2019 | 5/11/2019 | Approve | 6/12/2019 | St John New Zealand | no sponsor |
| 19/NTB/187 | Out-of-Hospital Cardiac Arrest Registry V2 (OHCARV2):Observational Study Protocol | Professor Bridget Dicker | 17/10/2019 | 5/11/2019 | Approve | 6/12/2019 | St John New Zealand | no sponsor |
| 19/NTB/188 | Costs of Breast Cancer | Dr Chunhuan Lao | 17/10/2019 |  | Approve | 5/11/2019 |  | academic institution |
| 19/NTB/191 | SRA-MMB-301: 'Momentum' A study of Momelotinib (MMB) versus Danazol (DAN) in Myelofibrosis Subjects who were Previously Treated with JAK Inhibitor Therapy'Momentum' | Dr Anna Elinder Camburn | 24/10/2019 | 19/11/2019 | Approve | 7/01/2020 | Waitemata District Health Board | pharmaceutical company |
| 19/NTB/194 | Breastfeeding and social media | Dr Emma McNeill | 24/10/2019 |  | Decline | 19/11/2019 |  | no sponsor |
| 19/NTB/195 | The Mega Randomised Registry Trial Comparing Conservative vs. Liberal OXygenation Targets (Mega-ROX) | dr paul young | 24/10/2019 | 19/11/2019 | Approve | 10/01/2020 |  | no sponsor |
| 19/NTB/196 | FORTE 2 | Dr Dean Corbett | 24/10/2019 | 19/11/2019 | Approve | 12/12/2019 | Auckland Eye Limited | medical device company |
| 19/NTB/197 | PCN-101-02: Study in healthy volunteers of the safety and how the body handles PCN-101 | Dr Christian Schwabe | 24/10/2019 |  | Approve | 19/11/2019 | Auckland Clinical Studies (ACS) Ltd | pharmaceutical company |
| 19/NTB/198 | Exercise and Meditation in Adults with Anxiety | Ms Jessica Allan | 29/10/2019 | 5/11/2019 | Approve | 11/11/2019 | University of Canterbury | no sponsor |
| 19/NTB/200 | AVERT DOSE | Mrs Justine Slow | 21/11/2019 | 16/12/2019 | Approve | 20/02/2020 | BOPDHB | academic institution, collaborative research |
| 19/NTB/202 | A pilot study to assess the feasibility and role of therapeutic massage for cancer patients receiving outpatient chemotherapy at Lakes District Health Board | Ms Ellen Pope | 16/11/2019 | 25/11/2019 | Approve | 10/01/2020 | Toi Ohomai Institute of Technology | no sponsor |
| 19/NTB/203 | Plasma flucloxacillin concentration in patients taking probenecid-boosted oral flucloxacillin | Dr Richard Everts | 18/11/2019 |  | Decline | 27/11/2019 |  | no sponsor |
| 19/NTB/204 | Alcohol Risk Communication Tool Study | Associate Professor Bridget Kool | 19/11/2019 | 25/11/2019 | Approve | 6/12/2019 |  | academic institution |
| 19/NTB/205 | 0173 TD-1473 DIONE - Efficacy and Safety of TD-1473 in Crohn’s Disease | Dr James Brooker | 21/11/2019 | 16/12/2019 | Approve | 20/02/2020 | Waikato Hospital | pharmaceutical company |
| 19/NTB/206 | Probiotics and wellbeing in adults with type 1 diabetes | Dr Anna Serlachius | 20/11/2019 | 29/11/2019 | Approve | 4/03/2020 | University of Auckland | academic institution |
| 19/NTB/207 | Relationship of vitamin C status to renal function in diabetes | Dr Anitra Carr | 21/11/2019 |  | Approve | 27/11/2019 | University of Otago, Christchurch | no sponsor |
| 19/NTB/208 | The Preparation for Knee Surgery Study | Dr Debbie Bean | 21/11/2019 |  | Approve | 27/11/2019 | Auckland University of Technology & Waitemata District Health Board | no sponsor |
| 19/NTB/210 | My Experiences, My Rights: Health and Wellbeing | Dr Brigit Mirfin-Veitch | 21/11/2019 | 16/12/2019 | Approve | 5/02/2020 | Donald Beasley Institute | other |
| 19/NTB/211 | Training for Smell Loss following Traumatic Brain Injury (TBI) | Dr Kristin Gozdzikowska | 21/11/2019 |  | Approve | 16/12/2019 |  | no sponsor |
| 19/NTB/212 | A study to test whether different doses of BI 456906 are effective in treating adults with type 2 diabetes. | Dr Dean Quinn | 21/11/2019 | 16/12/2019 | Approve | 12/03/2020 |  | pharmaceutical company |
| 19/NTB/215 | Novel approaches to measuring the food and activity environments for child health | Dr Niamh Donnellan | 21/11/2019 | 27/11/2019 | Approve | 10/01/2020 | University of Auckland | academic institution |
| 19/NTB/216 | SWING Study | Associate Professor Andrew Holden | 21/11/2019 |  | Approve | 16/12/2019 | Auckland City Hospital | medical device company |
| 19/NTB/217 | Norovirus culture | Dr Joanne Hewitt | 22/11/2019 |  | Approve | 16/12/2019 |  | other |
| 19/NTB/219 | Abdominal Stimulation to reduce ventilation duration | Mrs Jessica Penney | 21/11/2019 | 16/12/2019 | Approve | 20/02/2020 | Counties Manukau District Health Board | collaborative research |
| 19/NTB/220 | Abdominal Stimulation to reduce respiratory complications | Mrs Jessica Penney | 21/11/2019 | 16/12/2019 | Approve | 20/02/2020 | Counties Manukau District Health Board | collaborative research |
| 19/NTB/221 | Iatrogenic Trigeminal Nerve injuries in the management of facial trauma | Dr James Olsen | 2/03/2020 | 17/03/2020 | Approve | 2/04/2020 |  | no sponsor |
| 19/NTB/222 | PREHAB | Professor Cathy Stinear | 22/11/2019 | 5/12/2019 | Approve | 16/12/2019 |  | no sponsor |
| 19/NTB/224 | Reliability, validity and responsiveness of chiropractic assessment techniques. | Dr Kelly Holt | 28/11/2019 | 6/12/2019 | Approve | 18/02/2020 | New Zealand College of Chiropractic | academic institution |
| 19/NTB/225 | rNHF NP Temp | Dr Grace Bird | 29/11/2019 | 16/12/2019 | Approve | 5/02/2020 | Medical Research Institute of New Zealand | medical device company |
| 19/NTB/226 | New methods for probing the biochemistry of human skin | Dr Paul Jarrett | 27/11/2019 | 6/12/2019 | Approve | 5/02/2020 |  | no sponsor |
| 19/NTB/227 | Screening for Abdominal Aortic Aneurysm in Pacific people | Dr Karen Bartholomew | 2/12/2019 | 17/12/2019 | Approve | 20/02/2020 | Waitemata and Auckland DHBs | district health board (DHB) |
| 19/NTB/228 | Discrepant troponin results in the Auckland Region | Dr Chi Sing Leo Lam | 5/12/2019 | 17/12/2019 | Approve | 20/12/2019 | Auckland District Health Board | no sponsor |
| 19/NTB/230 | ATB200-03 | Assoc Professor Richard Roxburgh | 23/01/2020 | 20/02/2020 | Approve | 2/03/2020 | University Of Auckland | pharmaceutical company |
| 20/NTB/1 | Primary care use and acute bed day related hospitalistions. | Mr Kirk Paterson | 16/01/2020 |  | Approve | 21/01/2020 | Ministry of Health | no sponsor |
| 20/NTB/10 | CAMELOT | Mr Simon Young | 23/01/2020 | 20/02/2020 | Approve | 25/05/2020 | Waitemata DHB | medical device company |
| 20/NTB/100 | CLARITY | Dr Janak de Zoysa | 30/03/2020 | 1/05/2020 | Provisionally approve |  | Waitemata District Health Board | academic institution |
| 20/NTB/101 | Antibodies to COVID-19 in Southern region of NZ | Dr Arlo Upton | 6/04/2020 | 8/05/2020 | Approve | 25/05/2020 |  | no sponsor |
| 20/NTB/102 | Ethics application for diffuse large B-cell lymphoma retrospective data - non-tissue/non-consenting | Dr. Matthew Mackey | 11/05/2020 | 25/05/2020 | Approve | 4/06/2020 | Waikato District Health Board | no sponsor |
| 20/NTB/103 | The impact of COVID-19 on Kai for Pregnant Women with Diabetes | Dr Rosemary Hall | 29/04/2020 | 14/05/2020 | Approve | 12/08/2020 | University of Otago | no sponsor |
| 20/NTB/105 | Alcohol-related major trauma | Dr Liang Huang | 8/05/2020 | 15/05/2020 | Decline | 21/08/2020 | ACC | no sponsor |
| 20/NTB/106 | Appendicitis and Colon cancer in patients over 40 years | Mr Alben Thomas | 7/05/2020 | 15/05/2020 | Approve | 25/05/2020 | Univerisity of Otago, Christchurch | no sponsor |
| 20/NTB/107 | Retrospective cohort study assessing meningioma growth rate in Maori and Pacific Islander populations | Dr Brendan Davis | 4/05/2020 | 13/05/2020 | Approve | 21/08/2020 | Auckland District Health Board | no sponsor |
| 20/NTB/108 | Symptom Severity and Duration in NZ Community COVID-19 Infection | Dr Alex Semprini | 5/05/2020 | 25/05/2020 | Approve | 6/07/2020 | Medical Research Institute of New Zealand | academic institution |
| 20/NTB/109 | COVID-19 WLG Cohort Study | Dr Nethmi Kearns | 3/05/2020 | 22/05/2020 | Approve | 4/06/2020 | Medical Research Institute of New Zealand | academic institution |
| 20/NTB/110 | A cross-sectional survey of patients swabbed for SARS-CoV-2 in the Wellington Hospital Emergency Department | Dr Jennifer Randle | 15/04/2020 |  | Decline | 9/07/2020 | Capital and Coast DHB | no sponsor |
| 20/NTB/111 | Topical Analgesia Post Haemorrhoidectomy | Professor Andrew Hill | 21/05/2020 | 18/06/2020 | Approve | 22/07/2020 |  | no sponsor |
| 20/NTB/112 | transShield EPS study | Dr Mark Webster | 21/05/2020 | 18/06/2020 | Approve | 22/07/2020 | Auckland District Health Board | medical device company |
| 20/NTB/113 | Lymphoedema | Dr Bartosz Nowak | 18/05/2020 | 3/06/2020 | Provisionally approve |  |  | academic institution, other government agency |
| 20/NTB/115 | Cellular composition and healing capacity of adipose tissue in Caesarean section wound: A comparative study. | Associate Professor Michael Stitely | 29/05/2020 | 8/06/2020 | Approve | 6/07/2020 | University of Otago | academic institution |
| 20/NTB/116 | (duplicate) Village - a communication app for supporting young people with low mood and suicidal ideation | Dr Hiran Thabrew | 21/05/2020 | 18/06/2020 | Approve | 5/08/2020 | University of Auckland | district health board (DHB) |
| 20/NTB/117 | (duplicate) Human cell study | Assoc Prof Rinki Murphy | 21/05/2020 | 18/06/2020 | Approve | 2/07/2020 |  | academic institution |
| 20/NTB/118 | Optimizing Genomic Analysis for Paediatric Patients in Aotearoa | Professor Stephen Robertson | 26/05/2020 |  | Approve | 29/06/2020 | University of Otago | district health board (DHB) |
| 20/NTB/119 | Genetic Testing for Cannabis use | Mrs Elizabeth Plant | 2/06/2020 | 16/06/2020 | Provisionally approve |  | Eqalis Pharmaceuticals Ltd | pharmaceutical company |
| 20/NTB/12 | CROQUET study | Dr Marie-Claire Smith | 21/01/2020 | 5/02/2020 | Approve | 25/02/2020 |  | no sponsor |
| 20/NTB/120 | Tackling Malnutrition in Residential Aged Care | Prof Carol Wham | 8/06/2020 | 23/06/2020 | Approve | 2/07/2020 | Massey University | no sponsor |
| 20/NTB/121 | Healthy carbohydrates or healthy fats following an acute coronary event? | Dr Andrew Reynolds | 5/06/2020 | 16/06/2020 | Provisionally approve |  | University of Otago | academic institution |
| 20/NTB/122 | Telephone clinics for management of hyperglycaemia in pregnancy | Dr Charlotte Oyston | 5/06/2020 | 16/06/2020 | Approve | 7/07/2020 | Middlemore Hospital | no sponsor |
| 20/NTB/123 | Field-deployable molecular diagnostic for COVID-19 | Dr Rachel Fleming | 4/06/2020 |  | Approve | 16/06/2020 |  | other government agency |
| 20/NTB/125 | Improving hearing outcomes of New Zealanders | Dr Haruna Suzuki-Kerr | 8/07/2020 |  | Approve | 22/07/2020 | University of Auckland | academic institution |
| 20/NTB/126 | ALG-010133-101: A Phase 1 study of ALG-010133 in Healthy Volunteers and patients with Chronic Hepatitis B | Prof Ed Gane | 25/06/2020 |  | Approve | 28/07/2020 | Auckland District Health Board | pharmaceutical company |
| 20/NTB/128 | A community group for older Chinese adults with loneliness | Miss Yan Zhao | 25/06/2020 |  | Decline | 28/07/2020 | Age Concern Auckland | non-governmental organisation (NGO) |
| 20/NTB/129 | Natural progression of synovial fluid white blood cell count and percentage of polymorphonuclear cells after primary total hip replacement | Dr Vikesh Gupta | 24/06/2020 | 2/07/2020 | Approve | 16/07/2020 |  | no sponsor |
| 20/NTB/13 | Studying the metabolic control of immune responses | Dr Olivier Gasser | 23/01/2020 | 20/02/2020 | Approve | 24/04/2020 | Malaghan Institute of Medical Research | other government agency |
| 20/NTB/130 | Investigating design requirements and effectiveness of educational mobile games for children's health care | Dr Edgar Rodriguez Ramirez | 25/06/2020 |  | Decline | 28/07/2020 | Victoria University of Wellington | academic institution |
| 20/NTB/131 | The long term outcome of lupus nephritis in New Zealand children. | Dr Anthony Concannon | 25/06/2020 | 28/07/2020 | Approve | 26/08/2020 | Starship Hospital | no sponsor |
| 20/NTB/132 | DASL-HiCaP - A trial adding darolutamide to androgen deprivation therapy and radiation in very high risk, clinically localised prostate cancer. | Dr Chakiath Jose | 25/06/2020 | 28/07/2020 | Provisionally approve |  | Auckland District Health Board | academic institution |
| 20/NTB/133 | Exploring the Complex Course of recovery for parents | Miss Laura Stanley | 25/06/2020 | 28/07/2020 | Provisionally approve |  | Massey University | no sponsor |
| 20/NTB/134 | Preoperative EEN versus usual care in Crohn's disease | Dr Catherine Wall | 25/06/2020 | 28/07/2020 | Approve | 18/08/2020 | University of Otago | academic institution |
| 20/NTB/136 | SER-301 Study for ulcerative colitis | Dr Ashok Raj | 25/06/2020 | 28/07/2020 | Provisionally approve |  | Counties Manukau DHB | pharmaceutical company |
| 20/NTB/137 | PROTECT Me | Associate Professor Katie Groom | 25/06/2020 | 28/07/2020 | Provisionally approve |  | The University of Auckland | academic institution, other government agency |
| 20/NTB/138 | Effect of beta blockade on serum lactate in ICU patients admitted with sepsis | Dr Grant Cave | 24/06/2020 | 2/07/2020 | Provisionally approve |  |  | no sponsor |
| 20/NTB/139 | A Study to Compare Safety and Efficacy of a High Dose of Eteplirsen in Duchenne Muscular Dystrophy (DMD) Patients (MIS51ON) | Dr Gina O'Grady | 25/06/2020 | 28/07/2020 | Provisionally approve |  | Auckland Clinical Studies Ltd and Startship Hospital | pharmaceutical company |
| 20/NTB/14 | A multi-disciplinary approach to diagnose mild traumatic brain injuries | Dr Rachel Fleming | 22/01/2020 | 5/02/2020 | Approve | 21/05/2020 |  | academic institution, other government agency |
| 20/NTB/140 | Evaluation of Quest – Te Whitianga app to improve emotional wellbeing in adolescents. | Dr Karolina Stasiak | 25/06/2020 | 28/07/2020 | Approve | 26/08/2020 | The University of Auckland | academic institution |
| 20/NTB/141 | Auckland Concussion Services Cost-EffectiveneSS (ACCESS) Study | Dr Braden Te Ao | 29/06/2020 | 14/07/2020 | Provisionally approve |  | University of Auckland | no sponsor |
| 20/NTB/149 | Smart Search II | Dr Edmond Zhang | 24/06/2020 | 14/07/2020 | Approve | 25/08/2020 |  | collaborative research , other |
| 20/NTB/15 | Prospective study of Δ133p53 expression in Ulcerative Colitis | Professor Michael Schultz | 23/01/2020 | 20/02/2020 | Approve | 9/04/2020 | Southern District Health Board | academic institution |
| 20/NTB/16 | Drug use and Cognitive Inflexibility | Dr David Newcombe | 23/01/2020 | 20/02/2020 | Provisionally approve |  | University of Auckland, New Zealand | academic institution |
| 20/NTB/173 | International Comparison of Young Colorectal Cancer | Ms Tamara Glyn Mullaney | 6/06/2020 |  | Approve | 5/08/2020 | University of Otago, Christchurch | no sponsor |
| 20/NTB/19 | Molecular Biomarkers of Treatment Response in Rectal Cancer | Dr Kirsty Danielson | 23/01/2020 | 20/03/2020 | Approve |  | University of Otago | no sponsor |
| 20/NTB/2 | Modern Surgical Approaches in THR: A prospective pilot study including gait analysis | Dr Alexandria Gibson | 16/01/2020 | 5/02/2020 | Approve | 25/02/2020 | Middlemore Hospital | no sponsor |
| 20/NTB/21 | (duplicate) Discontinuing nucleos(t)ide analogue therapy for chronic hepatitis B with the aim of achieving HBsAg seroconversion | Dr Tien Huey Lim | 23/01/2020 | 20/02/2020 | Approve | 20/03/2020 | Middlemore Hospital | no sponsor |
| 20/NTB/26 | A Phase 1 study of ABI-H3733 in Healthy Subjects | Prof Ed Gane | 23/01/2020 | 20/02/2020 | Approve | 3/03/2020 | Auckland District Health Board | pharmaceutical company |
| 20/NTB/28 | The Impact of Middle ear Disease in Aotearoa | Dr Lance Buckthought | 11/02/2020 | 3/03/2020 | Approve | 16/04/2020 | CCDHB | no sponsor |
| 20/NTB/29 | Genetic Testing for cannabis use. | Mrs Elizabeth Plant | 7/02/2020 |  | Decline | 26/02/2020 | Eqalis Pharmaceuticals Ltd | pharmaceutical company |
| 20/NTB/31 | COOLHEAD-1 | Professor Alan Barber | 20/02/2020 | 17/03/2020 | Approve | 24/04/2020 | University of Auckland | no sponsor |
| 20/NTB/33 | The TARGET 4.5 Year Follow-up Study | Professor Caroline Crowther | 20/02/2020 | 18/03/2020 | Approve | 8/04/2020 | University of Auckland | academic institution |
| 20/NTB/35 | Australasian registry of invasive Aspergillus infections. | Dr Arthur Morris | 10/02/2020 |  | Approve | 26/02/2020 |  | collaborative research |
| 20/NTB/36 | Submission of rare fungal infections to the FungiScope registry | Dr Arthur Morris | 10/02/2020 | 9/03/2020 | Approve | 26/03/2020 | Auckland City Hospital | collaborative research |
| 20/NTB/37 | Village - a communication app for supporting young people with low mood and suicidal ideation | Dr Hiran Thabrew | 20/02/2020 |  | Decline | 18/03/2020 | University of Auckland | district health board (DHB) |
| 20/NTB/39 | The EMMAC Study | Professor Paul Glue | 20/02/2020 |  | Decline | 18/03/2020 | University of Otago | academic institution, collaborative research |
| 20/NTB/40 | Experiences of the use of ADOC-E for share decision making around goal setting in rehabilitation | Mrs. Carla Strubbia | 20/02/2020 | 18/03/2020 | Approve | 4/05/2020 | University of Otago | no sponsor |
| 20/NTB/41 | Review the prevalence of disseminated soft tissue, bone and joint infection caused by Neisseria gonorrhoeae in adult patients from Middlemore Hospital between 2014 and 2018. | Dr. Chris Xu | 30/03/2020 | 18/04/2020 | Approve | 21/05/2020 |  | no sponsor |
| 20/NTB/43 | Quantification of intranasal topical fluticasone post FESS using HPLC | Miss Joey Siu | 21/02/2020 | 26/03/2020 | Approve | 1/04/2020 |  | academic institution |
| 20/NTB/45 | Vitreous Biomechanics Study | Dr Jesse Gale | 24/02/2020 |  | Approve | 20/03/2020 |  | no sponsor |
| 20/NTB/46 | Ankylosing Spondylitis and Intestinal Bacteria | Ms Estelle Peyroux | 28/02/2020 | 20/03/2020 | Approve | 2/07/2020 | University of Otago | academic institution |
| 20/NTB/47 | NMBD anaphylaxis study | Dr Karen Pedersen | 28/02/2020 |  | Approve | 20/03/2020 | Auckland District Health Board | no sponsor |
| 20/NTB/48 | Ultrasound guided hamstring block to reduce autologous graft site pain in hamstring tendon anterior cruciate ligament reconstruction | Dr Hamish Mace | 2/03/2020 | 20/03/2020 | Approve | 23/06/2020 | Fiona Stanley Hospital | no sponsor |
| 20/NTB/49 | Evaluation of the 'NZ Step Away' app | Dr Natalie Walker | 3/03/2020 | 19/03/2020 | Approve | 3/04/2020 | University of Auckland | academic institution |
| 20/NTB/50 | Ketogenic Diet In Huntington's | Dr Matthew Phillips | 9/03/2020 | 26/03/2020 | Approve | 3/04/2020 | Waikato Hospital | no sponsor |
| 20/NTB/51 | psycho-education for young adults with emotional dysregulation | Ms Rebecca Lamb | 13/03/2020 | 3/04/2020 | Approve | 3/06/2020 | University of Canterbury | non-governmental organisation (NGO) |
| 20/NTB/52 | Understanding the impact of mixed meals on insulin response curves. | Dr Catherine Crofts | 13/03/2020 | 3/04/2020 | Approve | 9/07/2020 | Auckland University of Technology | no sponsor |
| 20/NTB/56 | COVIDSurg | Professor Ian Bissett | 28/02/2020 | 1/04/2020 | Approve | 29/04/2020 | University of Auckland | no sponsor |
| 20/NTB/58 | Self-Inflicted Trauma – Whistling past the graveyard | Dr Divyansh Panesar | 14/03/2020 | 9/04/2020 | Approve | 4/05/2020 | Auckland District Health Board | no sponsor |
| 20/NTB/6 | A long term study that will look at whether CRN00808 is safe and whether it works for people with acromegaly. | Dr Richard Carroll | 23/01/2020 | 20/02/2020 | Approve | 16/04/2020 | Capital & Coast District Health Board | pharmaceutical company |
| 20/NTB/60 | Equitable Perinatal Mental Health Outcomes for Pacific Women | Mrs Mary Roberts | 16/06/2020 | 30/06/2020 | Approve | 16/07/2020 | Moana Research | district health board (DHB), other government agency |
| 20/NTB/63 | A person-centre care model for psychotic subcontinent Indians service users at risk of diabetes | Dr Rajendra Pavagada | 26/03/2020 |  | Decline | 23/04/2020 | Mental Health and Addiction Service, Counties Manukau District Health Board | no sponsor |
| 20/NTB/64 | Mental health in the emergency department | Dr Ruth Cunningham | 19/03/2020 |  | Approve | 9/04/2020 | University of Otago, Wellington | no sponsor |
| 20/NTB/65 | A study assessing the pharmacokinetic similarity of Prolia-EU, Prolia-US and the trial drug JHL1266. | Dr Paul Hamilton | 26/03/2020 |  | Approve | 23/04/2020 | Auckland Clinical Studies | pharmaceutical company |
| 20/NTB/66 | Efficacy and Safety of Benralizumab in Moderate to Very Severe Chronic Obstructive Pulmonary Disease (COPD) with a History of Frequent Exacerbations | Dr Benedict(Ben) D.J. Brockway | 26/03/2020 | 23/04/2020 | Decline | 3/07/2020 | Southern District Health Board/University of Otago | pharmaceutical company |
| 20/NTB/67 | “Transcranial electrical stimulation for chronic low back pain.” | Dr Divya Adhia | 26/03/2020 | 23/04/2020 | Approve | 28/07/2020 | University of Otago | no sponsor |
| 20/NTB/68 | Phase III study of belantamab mafodotin, bortezomib, and dexamethasone (B-Vd) versus daratumumab, bortezomib, and dexamethasone (D-Vd) in participants with relapsed/refractory multiple myeloma | Dr Henry Chan | 26/03/2020 | 23/04/2020 | Approve | 29/06/2020 | Waitemata District Health Board | pharmaceutical company |
| 20/NTB/69 | Humerus BoTox | Dr. Antonio Klasan | 26/03/2020 |  | Decline | 23/04/2020 |  | no sponsor |
| 20/NTB/7 | MK3475-991 Phase 3 Study of Pembrolizumab/Placebo plus Enzalutamide plus ADT in Metastatic Hormone-Sensitive Prostate Cancer. | Dr Nicola Lawrence | 20/02/2020 | 18/03/2020 | Approve | 12/06/2020 | Auckland District Health Board | pharmaceutical company |
| 20/NTB/70 | Can nutritional supplements reduce stress in undergraduate University of Canterbury (UC) students, relative to a placebo? | Prof Julia Rucklidge | 23/03/2020 | 9/04/2020 | Approve | 18/04/2020 | University of Canterbury | no sponsor |
| 20/NTB/72 | Demographic and Clinical Predictors of COVID-19 Severity in New Zealand | Dr Michael Maze | 7/03/2020 | 15/04/2020 | Approve | 17/04/2020 | University of Otago | academic institution |
| 20/NTB/73 | Hydroxychloroquine Or Placebo Evaluation in COVID19 (HOPE study) | Dr Alex Semprini | 7/03/2020 | 15/04/2020 | Approve | 17/04/2020 | Medical Research Institute of New Zealand | academic institution |
| 20/NTB/74 | Patient Outcomes in General Surgery during the New Zealand COVID-19 lockdown | Dr Jamie-Lee Rahiri | 7/03/2020 | 9/04/2020 | Approve | 17/04/2020 | Waitematā District Health Board & The University of Auckland | no sponsor |
| 20/NTB/75 | Australasian COVID-19 trial (ASCOT) | Dr Susan Morpeth | 8/03/2020 | 15/04/2020 | Approve | 17/04/2020 | Counties Manukau District Health Board | no sponsor |
| 20/NTB/76 | Serology for the diagnosis of COVID-19 | Dr Nicole Moreland | 8/03/2020 |  | Approve | 17/04/2020 |  | no sponsor |
| 20/NTB/78 | COVID-19 in cancer and immune compromised patients | Dr Karen Tsui | 16/03/2020 | 17/04/2020 | Approve | 30/06/2020 | Auckland District Health Board | no sponsor |
| 20/NTB/79 | Mitigating the impact of COVID-19 Lockdown on diabetic foot disease | Dr Helen Lunt | 5/04/2020 |  | Decline | 17/04/2020 | Canterbury District Health Board | no sponsor |
| 20/NTB/8 | Transabdominal Electric Stimulation in the Treatment of Chronic Consipation in Children | Dr Vivek Rajasekaran | 20/02/2020 | 18/03/2020 | Approve | 16/04/2020 | Waikato Hospital | no sponsor |
| 20/NTB/81 | COVID-19 in Pregnancy NZ Registry | Associate Professor Katie Groom | 7/04/2020 | 23/04/2020 | Approve | 22/05/2020 | University of Auckland | academic institution |
| 20/NTB/82 | ACT to facilitate recovery from concussion - case series | Dr Alice Theadom | 3/10/2019 | 16/10/2019 | Approve | 20/03/2020 |  | academic institution |
| 20/NTB/83 | Chest X-ray image analysis and classification for pneumonia detection | Dr Terry Gao | 5/04/2020 | 24/04/2020 | Provisionally approve |  |  | district health board (DHB) |
| 20/NTB/84 | COVID-19 Tissue Bank | Dr Michael Maze | 21/03/2020 | 24/04/2020 | Approve | 6/07/2020 | University of Otago |  |
| 20/NTB/85 | Rapid diagnosis and genome sequencing of COVID-19 | Dr Olin Silander | 21/03/2020 | 24/04/2020 | Provisionally approve |  | Massey University | academic institution, other |
| 20/NTB/9 | Study of VIR-3434 in Healthy Volunteers and Participants with Chronic Hepatitis B | Prof Edward Gane | 23/01/2020 | 20/02/2020 | Approve | 2/04/2020 | Auckland Clinical Studies Ltd and Auckland City Hospital | pharmaceutical company |
| 20/NTB/91 | A phase 1 study of Lenabasum (JBT-101) in adults with normal and reduced liver function | Prof Edward Gane | 23/04/2020 | 26/05/2020 | Approve | 9/06/2020 | Auckland Clinical Studies Ltd and Auckland City Hospital | pharmaceutical company |
| 20/NTB/92 | AGN120-1: Study of the Efficacy, Safety and Tolerability of NP-120 on Idiopathic Pulmonary Fibrosis and its Associated Cough | Dr Catherina Chang | 23/04/2020 | 26/05/2020 | Approve | 25/06/2020 | Waikato Hospital | pharmaceutical company |
| 20/NTB/93 | Testing Object Association | Miss Jo Chapman | 23/04/2020 | 26/05/2020 | Approve | 30/06/2020 | Massey University | no sponsor |
| 20/NTB/95 | Phase 2a Study Evaluating ABI-H2158-Containing Regimens in Chronic Hepatitis B Infection. | Prof Ed Gane | 23/04/2020 | 26/05/2020 | Approve | 23/06/2020 | Auckland District Health Board | pharmaceutical company |
| 20/NTB/96 | Younger onset dementia diagnosis | Dr Brigid Ryan | 23/04/2020 |  | Decline | 26/05/2020 |  | academic institution |
| 20/NTB/98 | Evaluation of Mental Health Co-Response Team | Dr Susanna Every-Palmer | 1/05/2020 | 19/06/2020 | Decline | 26/06/2020 | University of Otago, Wellington | no sponsor |

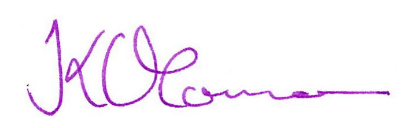
# Declaration by Head of Organisation with Primary Responsibility for the EC

## Declaration by EC Chairperson

**Name of EC: Northern B Health and Disability Ethics Committee**

**I declare for the above named EC:**

* that the information supplied on this form and any attachment(s) is true and correct; and
* that, for the period to which this form relates, the EC has operated in accordance with relevant Guidelines and Legislation.

**Name:** Kate O’Connor \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Signature: Date:** 21 September 2020

## Declaration by Head of Organisation with Primary Responsibility for the EC

**Name of EC: Pre-populated by HRC**

**Name of organisation: Pre-populated by HRC**

**On behalf of the above named organisation, and in relation to the above named EC, I declare that:**

* I am duly authorised to sign this declaration;
* the information supplied on this form and any attachment(s) is true and correct;
* the EC is adequately resourced and maintained;
* for the period to which this form relates, the organisation ensured that the EC’s Terms of Reference included information on the:
  + scope of its responsibilities,
  + relationship to non-affiliated researchers,
  + accountability,
  + mechanisms of reporting, and
  + remuneration (if any) for members;
* the organisation accepts legal responsibility for decisions and advice received from the EC; and
* EC members are indemnified.

**Name:** Mr Nic Aagaard

Title First Name Last Name

**Position :** Acting Manager – Ethics, Quality Assurance and Safety, Health System Improvement and Innovation, Ministry of Health

**E-mail :** hdecs@moh.govt.nz nz



**Signature: Date:** 7 October 2020

1. Data is directly reported from the online system. Any errors in spelling is reflected due to errors made by the applicant. [↑](#footnote-ref-1)